# **Exceptional Control Flow: Signals**

CS 485G-006: Systems Programming

Lectures 27–28: 4–6 Apr 2016

#### ECF Exists at All Levels of a System

- Exceptions
  - Hardware and operating system kernel software
- Process Context Switch
  - Hardware timer and kernel software
- Signals
  - Kernel software and application software
- Nonlocal jumps
  - Application code

Today

Textbook and supplemental slides

# Background jobs in a shell

- A shell can run external commands in the foreground
  - fork(), then waitpid() for the child process to finish
- Or the background (PA4 bonus)
  - Read and execute other commands while command is running.
  - fork() but don't wait
- What happens when background jobs terminate?
  - Will become zombies!
  - Will never be reaped because shell (typically) will not terminate
  - Will create a memory leak that could run the kernel out of memory

#### **ECF** to the Rescue!

#### Solution: Exceptional control flow

- The kernel will interrupt regular processing to alert us when a background process completes
- In Unix, the alert mechanism is called a signal

# Signals

- A signal is a small message that notifies a process that an event of some type has occurred in the system
  - Akin to exceptions and interrupts
  - Sent from the kernel (sometimes at the request of another process) to a process
  - Signal type is identified by small integer ID's (1-30)
  - Only information in a signal is its ID and the fact that it arrived

| ID | Name    | Default Action | Corresponding Event                      |
|----|---------|----------------|--|
| 2  | SIGINT  | Terminate      | User typed ctrl-c                        |
| 9  | SIGKILL | Terminate      | Kill program (cannot override or ignore) |
| 11 | SIGSEGV | Terminate      | Segmentation violation                   |
| 14 | SIGALRM | Terminate      | Timer signal                             |
| 17 | SIGCHLD | Ignore         | Child stopped or terminated              |

# Signal Concepts: Sending a Signal

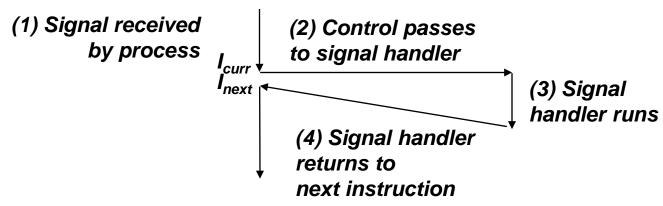
- Kernel sends (delivers) a signal to a destination process by updating some state in the context of the destination process
- Kernel sends a signal for one of the following reasons:
  - Kernel has detected a system event such as divide-by-zero (SIGFPE) or the termination of a child process (SIGCHLD)
  - Another process has invoked the kill system call to explicitly request the kernel to send a signal to the destination process

# Signal Concepts: Receiving a Signal

A destination process receives a signal when it is forced by the kernel to react in some way to the delivery of the signal

#### Some possible ways to react:

- Ignore the signal (do nothing)
- Terminate the process (with optional core dump)
- Catch the signal by executing a user-level function called signal handler
  - Akin to a hardware exception handler being called in response to an asynchronous interrupt:



# Signal Concepts: Pending and Blocked Signals

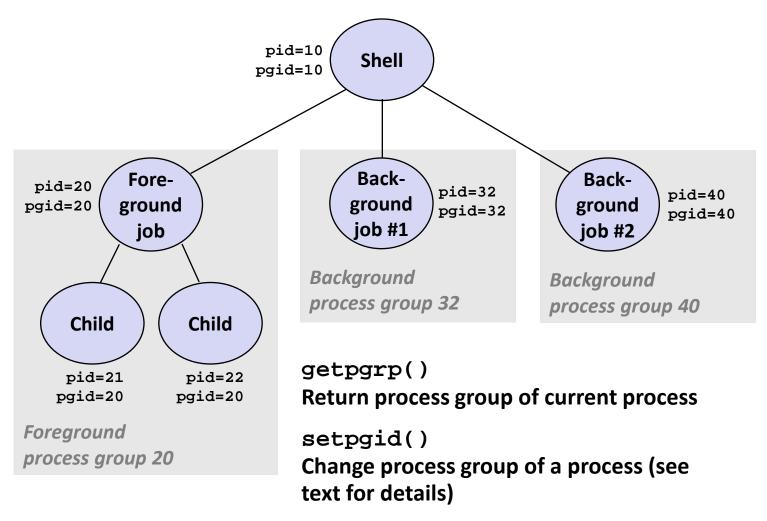
- A signal is *pending* if sent but not yet received
  - There can be at most one pending signal of any particular type
  - Important: Signals are not queued
    - If a process has a pending signal of type k, then subsequent signals of type k that are sent to that process are discarded
- A process can block the receipt of certain signals
  - Blocked signals can be delivered, but will not be received until the signal is unblocked
- A pending signal is received at most once

# **Signal Concepts: Pending/Blocked Bits**

- Kernel maintains pending and blocked bit vectors in the context of each process
  - pending: represents the set of pending signals
    - Kernel sets bit k in pending when a signal of type k is delivered
    - Kernel clears bit k in pending when a signal of type k is received
  - **blocked**: represents the set of blocked signals
    - Can be set and cleared by using the sigprocmask function
    - Also referred to as the signal mask.

#### **Sending Signals: Process Groups**

Every process belongs to exactly one process group



# Sending Signals with /bin/kill Program

/bin/kill program sends arbitrary signal to a process or process group

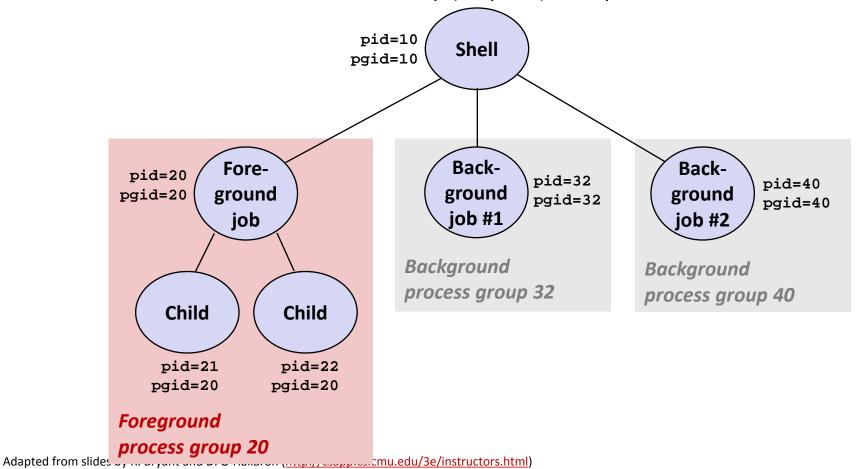
#### Examples

- /bin/kill -9 24818 Send SIGKILL to process 24818
- /bin/kill -9 -24817
  Send SIGKILL to every process
  in process group 24817

```
linux> ./forks 16
Child1: pid=24818 pgrp=24817
Child2: pid=24819 pgrp=24817
linux> ps
 PID TTY
                   TIME CMD
24788 pts/2
               00:00:00 tcsh
24818 pts/2
               00:00:02 forks
               00:00:02 forks
24819 pts/2
24820 pts/2
               00:00:00 ps
linux> /bin/kill -9 -24817
linux> ps
 PID TTY
                   TIME CMD
24788 pts/2
               00:00:00 tcsh
24823 pts/2
               00:00:00 ps
linux>
```

# Sending Signals from the Keyboard

- Typing ctrl-c (ctrl-z) causes the kernel to send a SIGINT (SIGTSTP) to every job in the foreground process group.
  - SIGINT default action is to terminate each process
  - SIGTSTP default action is to stop (suspend) each process



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#### Example of ctrl-c and ctrl-z

```
bluefish> ./forks 17
Child: pid=28108 pgrp=28107
Parent: pid=28107 pgrp=28107
<types ctrl-z>
Suspended
bluefish> ps w
  PID TTY
              STAT
                     TIME COMMAND
27699 pts/8 Ss
                     0:00 -tcsh
           T
                     0:01 ./forks 17
28107 pts/8
28108 pts/8
                     0:01 ./forks 17
                     0:00 ps w
28109 pts/8
            R+
bluefish> fq
./forks 17
<types ctrl-c>
bluefish> ps w
  PID TTY
              STAT
                     TIME COMMAND
27699 pts/8 Ss
                     0:00 -tcsh
           R+
28110 pts/8
                     0:00 ps w
```

#### **STAT (process state) Legend:**

#### First letter:

S: sleeping

T: stopped

R: running

#### Second letter:

s: session leader

+: foreground proc group

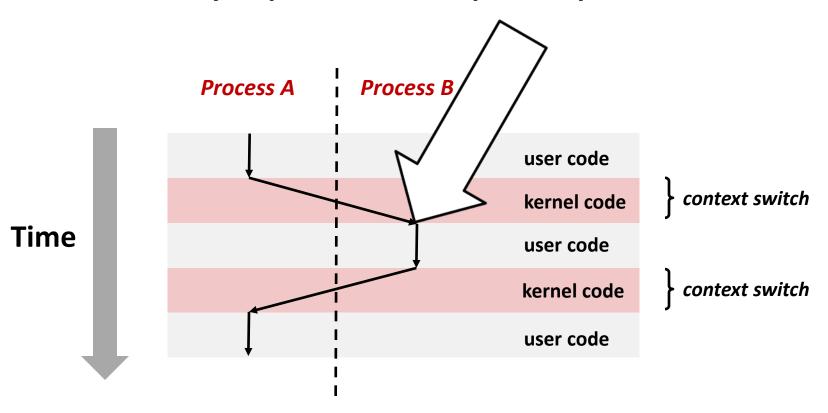
See "man ps" for more details

#### Sending Signals with kill Function

```
void fork12()
  pid_t pid[N];
  int i:
  int child status;
  for (i = 0; i < N; i++)
    if ((pid[i] = fork()) == 0) {
       /* Child: Infinite Loop */
       while(1)
  for (i = 0; i < N; i++) {
    printf("Killing process %d\n", pid[i]);
    kill(pid[i], SIGINT);
  for (i = 0; i < N; i++) {
    pid_t wpid = wait(&child_status);
    if (WIFEXITED(child_status))
       printf("Child %d terminated with exit status %d\n",
           wpid, WEXITSTATUS(child_status));
    else
       printf("Child %d terminated abnormally\n", wpid);
                                                                                  forks.c
```

#### **Receiving Signals**

 Suppose kernel is returning from an exception handler and is ready to pass control to process p



#### **Receiving Signals**

- Suppose kernel is returning from an exception handler and is ready to pass control to process p
- Kernel computes pnb = pending & ~blocked
  - The set of pending nonblocked signals for process p
- $\blacksquare \quad \text{If (pnb } == 0)$ 
  - Pass control to next instruction in the logical flow for p
- Else
  - Choose least nonzero bit k in pnb and force process p to receive signal k
  - The receipt of the signal triggers some action by p
  - Repeat for all nonzero k in pnb
  - Pass control to next instruction in logical flow for p

#### **Default Actions**

- Each signal type has a predefined default action, which is one of:
  - The process terminates
  - The process stops until restarted by a SIGCONT signal
  - The process ignores the signal

#### **Installing Signal Handlers**

- The signal function modifies the default action associated with the receipt of signal signum:
  - handler\_t \*signal(int signum, handler\_t \*handler)

#### Different values for handler:

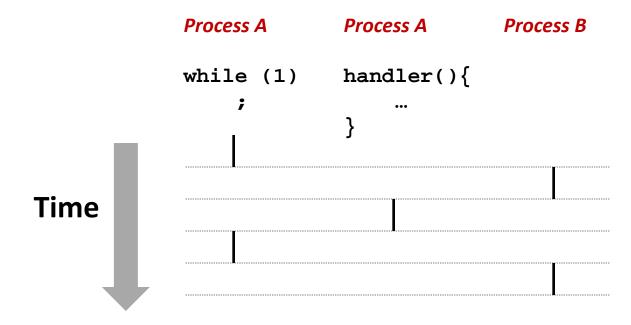
- SIG\_IGN: ignore signals of type signum
- SIG\_DFL: revert to the default action on receipt of signals of type signum
- Otherwise, handler is the address of a user-level signal handler
  - Called when process receives signal of type signum
  - Referred to as "installing" the handler
  - Executing handler is called "catching" or "handling" the signal
  - When the handler executes its return statement, control passes back to instruction in the control flow of the process that was interrupted by receipt of the signal

# **Signal Handling Example**

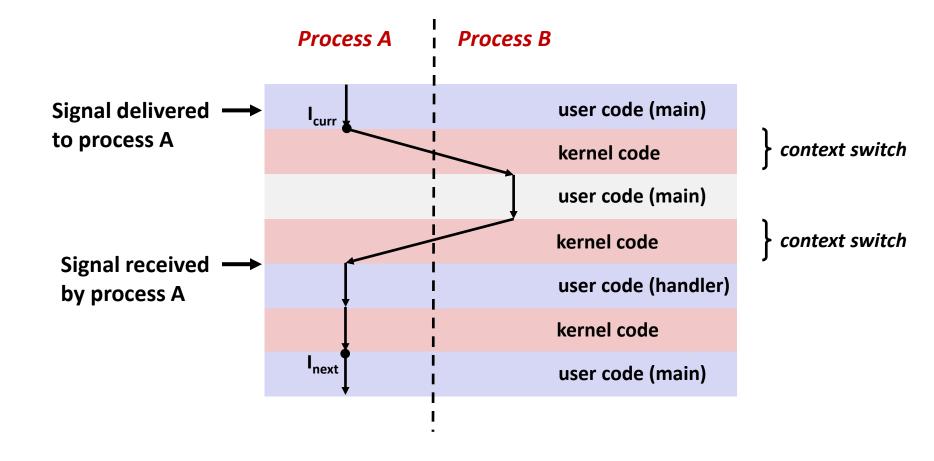
```
void sigint_handler(int sig) /* SIGINT handler */
  printf("So you think you can stop the bomb with ctrl-c, do you?\n");
  sleep(2);
  printf("Well...");
  fflush(stdout);
  sleep(1);
  printf("OK. :-)\n");
  exit(0);
int main()
  /* Install the SIGINT handler */
  if (signal(SIGINT, sigint_handler) == SIG_ERR)
     unix_error("signal error");
  /* Wait for the receipt of a signal */
  pause();
  return 0;
                                                                                          sigint.c
```

#### **Signals Handlers as Concurrent Flows**

 A signal handler is a separate logical flow (not process) that runs concurrently with the main program

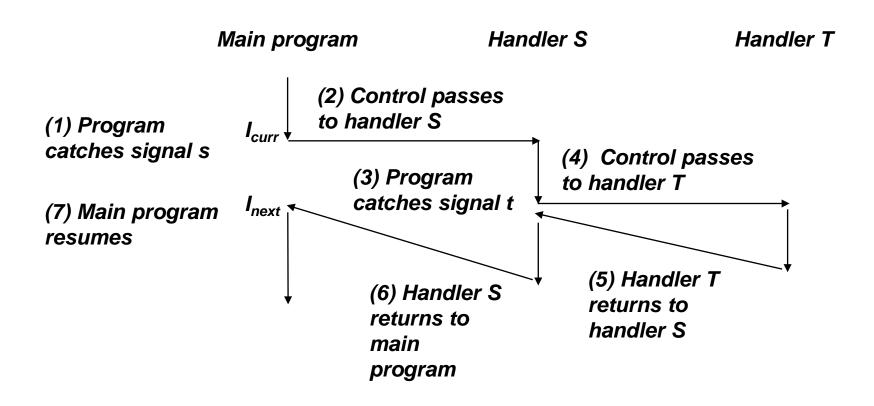


# **Another View of Signal Handlers as Concurrent Flows**



#### **Nested Signal Handlers**

Handlers can be interrupted by other handlers



#### **Blocking and Unblocking Signals**

#### Implicit blocking mechanism

- Kernel blocks any pending signals of type currently being handled.
- E.g., A SIGINT handler can't be interrupted by another SIGINT

#### Explicit blocking and unblocking mechanism

sigprocmask function

#### Supporting functions

- sigemptyset Create empty set
- sigfillset Add every signal number to set
- sigaddset Add signal number to set
- sigdelset Delete signal number from set

#### **Temporarily Blocking Signals**

```
Sigemptyset(&mask);
Sigaddset(&mask, SIGINT);

/* Block SIGINT and save previous blocked set */
Sigprocmask(SIG_BLOCK, &mask, &prev_mask);

** Code region that will not be interrupted by SIGINT */

/* Restore previous blocked set, unblocking SIGINT */
Sigprocmask(SIG_SETMASK, &prev_mask, NULL);
```

# Safe Signal Handling

- Handlers are tricky because they are concurrent with main program and share the same global data structures.
  - Shared data structures can become corrupted.
- We'll explore concurrency issues later in the term.
- For now here are some guidelines to help you avoid trouble.

#### **Guidelines for Writing Safe Handlers**

- G0: Keep your handlers as simple as possible
  - e.g., Set a global flag and return
- G1: Call only async-signal-safe functions in your handlers
  - printf, sprintf, malloc, and exit are not safe!
- G2: Save and restore errno on entry and exit
  - So that other handlers don't overwrite your value of errno
- G3: Protect accesses to shared data structures by temporarily blocking all signals.
  - To prevent possible corruption
- G4: Declare global variables as volatile
  - To prevent compiler from storing them in a register
- G5: Declare global flags as volatile sig\_atomic\_t
  - flag: variable that is only read or written (e.g. flag = 1, not flag++)
  - Flag declared this way does not need to be protected like other globals

# **Async-Signal-Safety**

- Function is async-signal-safe if either reentrant (e.g., all variables stored on stack frame, CS:APP3e 12.7.2) or non-interruptible by signals.
- Posix guarantees 117 functions to be async-signal-safe
  - Source: "man 7 signal"
  - Popular functions on the list:
    - \_exit, write, wait, waitpid, sleep, kill
  - Popular functions that are not on the list:
    - printf, sprintf, malloc, exit
    - Unfortunate fact: write is the only async-signal-safe output function

# **Safely Generating Formatted Output**

 Use the reentrant SIO (Safe I/O library) from csapp.c in your handlers.

```
    ssize_t sio_puts(char s[]) /* Put string */
    ssize_t sio_putl(long v) /* Put long */
    void sio_error(char s[]) /* Put msg & exit */
```

```
void sigint_handler(int sig) /* Safe SIGINT handler */
{
    Sio_puts("So you think you can stop the bomb with ctrl-c, do you?\n");
    sleep(2);
    Sio_puts("Well...");
    sleep(1);
    Sio_puts("OK. :-)\n");
    _exit(0);
}
```

```
int ccount = 0;
void child handler(int sig) {
  int olderrno = errno;
  pid t pid;
  if ((pid = wait(NULL)) < 0)
     Sio error("wait error");
  ccount--;
  Sio_puts("Handler reaped child ");
  Sio_putl((long)pid);
  Sio_puts(" \n");
  sleep(1);
  errno = olderrno;
void fork14() {
  pid_t pid[N];
  int i;
  ccount = N;
  Signal(SIGCHLD, child_handler);
  for (i = 0; i < N; i++) {
     if ((pid[i] = Fork()) == 0) {
       Sleep(1);
       exit(0); /* Child exits */
  while (ccount > 0) /* Parent spins */
```

# **Correct Signal Handling**

- Pending signals are not queued
  - For each signal type, one bit indicates whether or not signal is pending...
  - ...thus at most one pending signal of any particular type.
- You can't use signals to count events, such as children terminating.

whaleshark> ./forks 14
Handler reaped child 23240

Handler reaped child 23241

forks.c

#### **Correct Signal Handling**

- Must wait for all terminated child processes
  - Put wait in a loop to reap all terminated children

```
void child_handler2(int sig)
  int olderrno = errno;
  pid_t pid;
  while ((pid = wait(NULL)) > 0) {
     ccount--:
     Sio_puts("Handler reaped child ");
Sio_putl((long)pid);
Sio_puts(" \n");
  if (errno != ECHILD)
     Sio_error("wait error");
  errno = olderrno;
                                             whaleshark> ./forks 15
                                             Handler reaped child 23246
                                             Handler reaped child 23247
                                             Handler reaped child 23248
                                             Handler reaped child 23249
                                             Handler reaped child 23250
                                             whaleshark>
```

#### **Portable Signal Handling**

- Ugh! Different versions of Unix can have different signal handling semantics
  - Some older systems restore action to default after catching signal
  - Some interrupted system calls can return with errno == EINTR
  - Some systems don't block signals of the type being handled
- Solution: sigaction

#### Summary

- Signals provide process-level exception handling
  - Can generate from user programs
  - Can define effect by declaring signal handler
  - Be very careful when writing signal handlers
- Nonlocal jumps provide exceptional control flow within process
  - Within constraints of stack discipline

#### **Additional slides**

#### **Synchronizing Flows to Avoid Races**

 Simple shell with a subtle synchronization error because it assumes parent runs before child.

```
int main(int argc, char **argv)
{
  int pid;
  sigset_t mask_all, prev_all;
  Sigfillset(&mask_all);
  Signal(SIGCHLD, handler);
  initjobs(); /* Initialize the job list */
  while (1) {
     if ((pid = Fork()) == 0) { /* Child */
       Execve("/bin/date", argv, NULL);
    Sigprocmask(SIG_BLOCK, &mask_all, &prev_all); /* Parent */
     addjob(pid); /* Add the child to the job list */
     Sigprocmask(SIG_SETMASK, &prev_all, NULL);
  exit(0);
                                                                           procmask1.c
```

#### **Synchronizing Flows to Avoid Races**

SIGCHLD handler for a simple shell

```
void handler(int sig)
  int olderrno = errno;
  sigset_t mask_all, prev_all;
  pid t pid;
  Sigfillset(&mask_all);
  while ((pid = waitpid(-1, NULL, 0)) > 0) { /* Reap child */
    Sigprocmask(SIG_BLOCK, &mask_all, &prev_all);
    deletejob(pid); /* Delete the child from the job list */
    Sigprocmask(SIG SETMASK, &prev all, NULL);
  if (errno != ECHILD)
    Sio_error("waitpid error");
  errno = olderrno;
                                                                       procmask1.c
```

#### **Corrected Shell Program without Race**

```
int main(int argc, char **argv)
  int pid;
  sigset_t mask_all, mask_one, prev_one;
  Sigfillset(&mask_all);
  Sigemptyset(&mask_one);
  Sigaddset(&mask_one, SIGCHLD);
  Signal(SIGCHLD, handler);
  initjobs(); /* Initialize the job list */
  while (1) {
    Sigprocmask(SIG_BLOCK, &mask_one, &prev_one); /* Block SIGCHLD */
    if ((pid = Fork()) == 0) { /* Child process */
      Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */
      Execve("/bin/date", argv, NULL);
    Sigprocmask(SIG_BLOCK, &mask_all, NULL); /* Parent process */
         addjob(pid); /* Add the child to the job list */
    Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */
  exit(0);
                                                                                 procmask2.c
```

## **Explicitly Waiting for Signals**

Handlers for program explicitly waiting for SIGCHLD to arrive.

```
volatile sig_atomic_t pid;

void sigchld_handler(int s)
{
  int olderrno = errno;
  pid = Waitpid(-1, NULL, 0); /* Main is waiting for nonzero pid */
  errno = olderrno;
}

void sigint_handler(int s)
{
}

waitforsignal.c
```

## **Explicitly Waiting for Signals**

```
Similar to a shell waiting
int main(int argc, char **argv) {
                                                             for a foreground job to
  sigset_t mask, prev;
                                                             terminate.
  Signal(SIGCHLD, sigchld_handler);
  Signal(SIGINT, sigint_handler);
  Sigemptyset(&mask);
  Sigaddset(&mask, SIGCHLD);
  while (1) {
         Sigprocmask(SIG_BLOCK, &mask, &prev); /* Block SIGCHLD */
          if (Fork() == 0) /* Child */
      exit(0);
         /* Parent */
         pid = 0:
         Sigprocmask(SIG_SETMASK, &prev, NULL); /* Unblock SIGCHLD */
         /* Wait for SIGCHLD to be received (wasteful!) */
         while (!pid)
         /* Do some work after receiving SIGCHLD */
    printf(".");
  exit(0);
                                                                       waitforsignal.c
```

## **Explicitly Waiting for Signals**

- Program is correct, but very wasteful
- Other options:

```
while (!pid) /* Race! */
   pause();
```

```
while (!pid) /* Too slow! */
sleep(1);
```

Solution: sigsuspend

## Waiting for Signals with sigsuspend

- int sigsuspend(const sigset\_t \*mask)
- Equivalent to atomic (uninterruptable) version of:

```
sigprocmask(SIG_BLOCK, &mask, &prev);
pause();
sigprocmask(SIG_SETMASK, &prev, NULL);
```

## Waiting for Signals with sigsuspend

```
int main(int argc, char **argv) {
    sigset t mask, prev;
    Signal(SIGCHLD, sigchld handler);
    Signal(SIGINT, sigint handler);
    Sigemptyset(&mask);
    Sigaddset(&mask, SIGCHLD);
   while (1) {
        Sigprocmask(SIG BLOCK, &mask, &prev); /* Block SIGCHLD */
        if (Fork() == 0) /* Child */
            exit(0);
       /* Wait for SIGCHLD to be received */
       pid = 0;
        while (!pid)
            Sigsuspend(&prev);
       /* Optionally unblock SIGCHLD */
        Sigprocmask(SIG_SETMASK, &prev, NULL);
        /* Do some work after receiving SIGCHLD */
        printf(".");
    exit(0);
                                                                sigsuspend.c
```

## Nonlocal Jumps: setjmp/longjmp

- Powerful (but dangerous) user-level mechanism for transferring control to an arbitrary location
  - Controlled to way to break the procedure call / return discipline
  - Useful for error recovery and signal handling
- int setjmp(jmp\_buf j)
  - Must be called before longimp
  - Identifies a return site for a subsequent longjmp
  - Called once, returns one or more times

### Implementation:

- Remember where you are by storing the current register context, stack pointer, and PC value in jmp\_buf
- Return 0

## setjmp/longjmp(cont)

- void longjmp(jmp\_buf j, int i)
  - Meaning:
    - return from the setjmp remembered by jump buffer j again ...
    - ... this time returning instead of 0
  - Called after set jmp
  - Called once, but never returns

#### longjmp Implementation:

- Restore register context (stack pointer, base pointer, PC value) from jump buffer j
- Set %eax (the return value) to i
- Jump to the location indicated by the PC stored in jump buf j

# setjmp/longjmp Example

 Goal: return directly to original caller from a deeplynested function

```
/* Deeply nested function foo */
void foo(void)
{
    if (error1)
        longjmp(buf, 1);
    bar();
}

void bar(void)
{
    if (error2)
        longjmp(buf, 2);
}
```

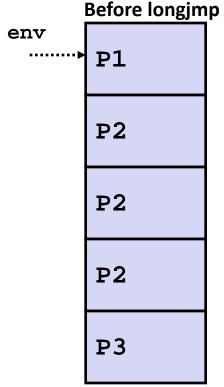
```
imp buf buf;
                                            setjmp/longjmp
int error1 = 0;
int error2 = 1;
                                             Example (cont)
void foo(void), bar(void);
int main()
  switch(setjmp(buf)) {
  case 0:
    foo();
    break:
  case 1:
    printf("Detected an error1 condition in foo\n");
    break;
  case 2:
    printf("Detected an error2 condition in foo\n");
    break;
  default:
    printf("Unknown error condition in foo\n");
  exit(0);
```

## **Limitations of Nonlocal Jumps**

#### Works within stack discipline

 Can only long jump to environment of function that has been called but not yet completed

```
jmp buf env;
P1()
  if (setjmp(env)) {
    /* Long Jump to here */
   else {
    P2();
P2()
{ . . . P2(); . . . P3(); }
P3()
  longjmp(env, 1);
```





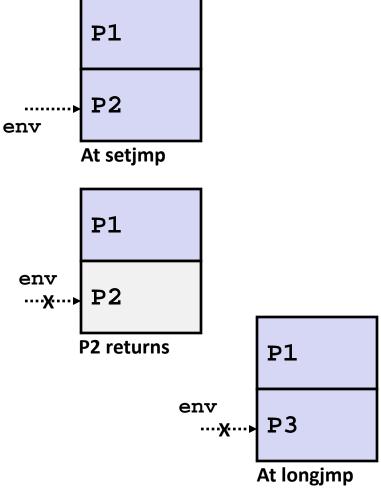
## **Limitations of Long Jumps (cont.)**

## Works within stack discipline

Can only long jump to environment of function that has been called

but not yet completed

```
jmp buf env;
P1()
  P2(); P3();
P2()
   if (setjmp(env)) {
    /* Long Jump to here */
P3()
  longjmp(env, 1);
```



# Putting It All Together: A Program That Restarts Itself When ctrl-c'd

```
#include "csapp.h"
     sigimp_buf buf;
                                                  greatwhite> ./restart
                                                  starting
     void handler(int sig)
                                                  processing...
       siglongimp(buf, 1);
                                                  processing...
                                                  processing...
                                                  restarting
     int main()
                                                                               .Ctrl-c
                                                  processing...
                                                  processing...
       if (!sigsetjmp(buf, 1)) {
                                                  restarting
         Signal(SIGINT, handler);
              Sio puts("starting\n");
                                                  processing.
                                                                               Ctrl-c
                                                  processing...
       else
                                                  processing...
         Sio_puts("restarting\n");
       while(1) {
              Sleep(1);
              Sio puts("processing...\n");
       exit(0); /* Control never reaches here */
                                                 restart.c
Adapte
```