

CS375: Logic and Theory of Computing

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Table of Contents:

- **Week 1: Preliminaries** (set algebra, relations, functions) (read Chapters 1-4)
- **Weeks 3-6: Regular Languages, Finite Automata** (Chapter 11)
- **Weeks 7-9: Context-Free Languages, Pushdown Automata** (Chapters 12)
- **Weeks 10-12: Turing Machines** (Chapter 13)

Table of Contents (conti):

- **Weeks 13-14: Propositional Logic (Chapter 6), Predicate Logic (Chapter 7), Computational Logic (Chapter 9), Algebraic Structures (Chapter 10)**

7. Context-Free Languages & Pushdown Automata

Automata Theory & Parsing

A black box assembly line

Cut open the belly

Goal:

Declarative formalisms like CFGs, FSAs define the *legal strings of a language*--but only tell you 'this is a legal string of the language X'

Parsing algorithms specify how to recognize the strings of a language and how to do *syntactic analysis of a string*



7. Context-Free Languages & Pushdown Automata - Context-Free Parsing

For instance,

“I like like spaghetti” could be considered a legal string

but not a legitimate sentence

structure-wise

LL(k) Grammar:

- A CFG is called an *LL(k) grammar* if a **parser** can be constructed to scan an input string from **left to right** and build a **leftmost derivation** by examining next *k* **input symbols** to determine the **unique production** for each derivation step.
- If a language has an *LL(k) grammar*, it is called an *LL(k) language*.

LL(k) Grammar:

Leftmost derivation

Next k input symbols



CFG production



$S \Rightarrow \dots$

Next k input symbols



CFG production



$\Rightarrow \dots$

Next k input symbols



CFG production



$\Rightarrow \dots$

Next k input symbols



CFG production



$\Rightarrow \dots$

Next k input symbols



CFG production



$\Rightarrow \dots$

Next k input symbols



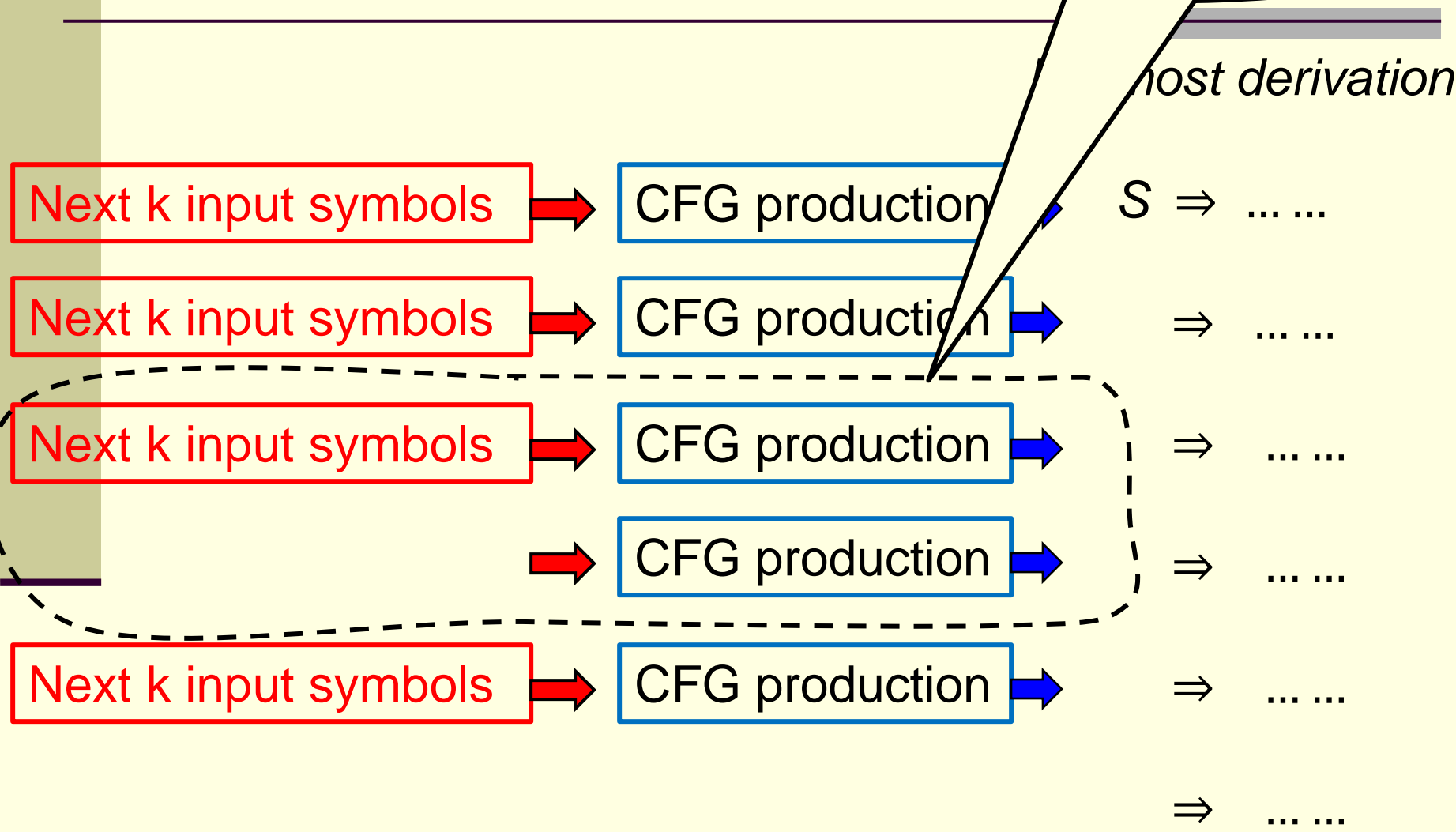
CFG production



$\Rightarrow \dots$

LL(k) Grammar:

Okay, to determine more than one step



First, a few things about **parse tree**, **parser** and **parsing**:

$X_{02} \rightarrow a A_{01} X_{11}$

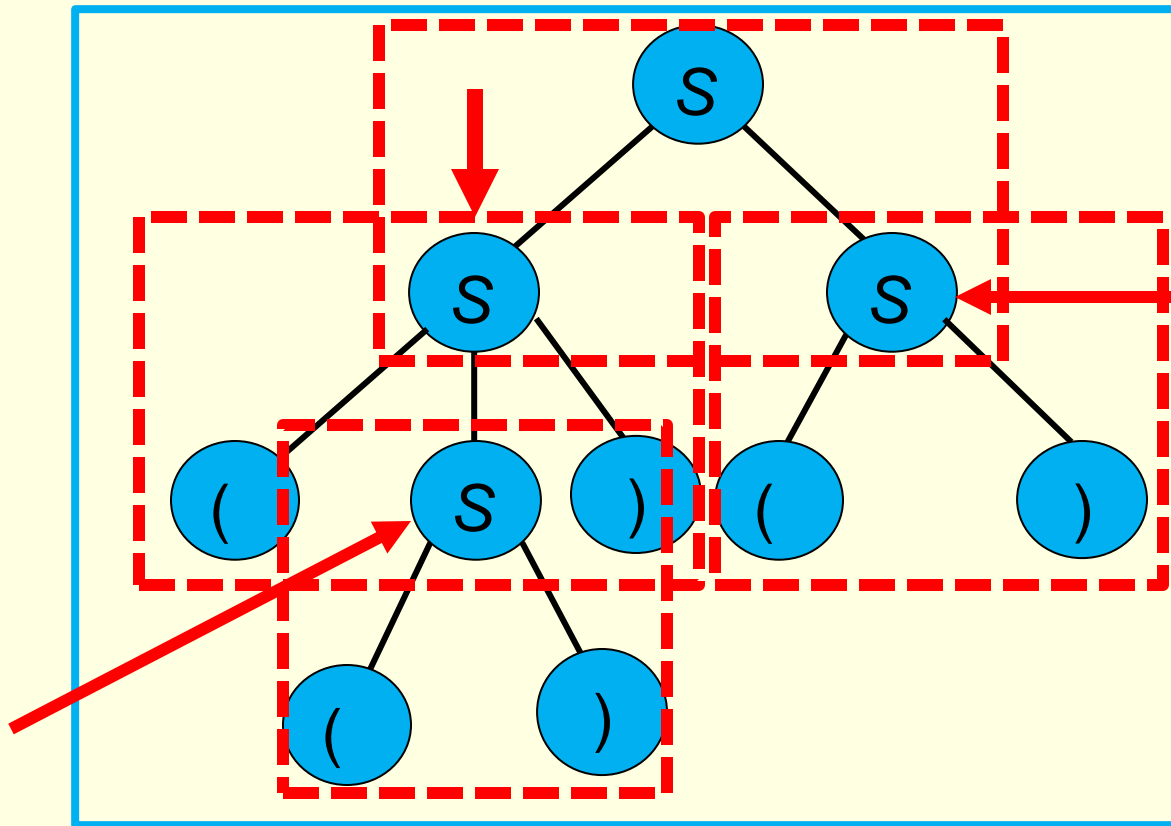
Parse Trees

- ❑ *Parse trees* are trees labeled by **symbols** of a particular **CFG** (in a particular **order**).
- ❑ *Leaves*: labeled by a **terminal** or Λ .
- ❑ *Interior nodes*: labeled by a **non-terminal**.
 - ❑ Children are labeled by the right side of a production for the parent.
- ❑ *Root*: must be labeled by the **start symbol**

Example: Parse Tree

$S \rightarrow SS \mid (S) \mid ()$

$S \rightarrow SS$
 $S \rightarrow (S)$
 $S \rightarrow ()$
 $S \rightarrow ()$

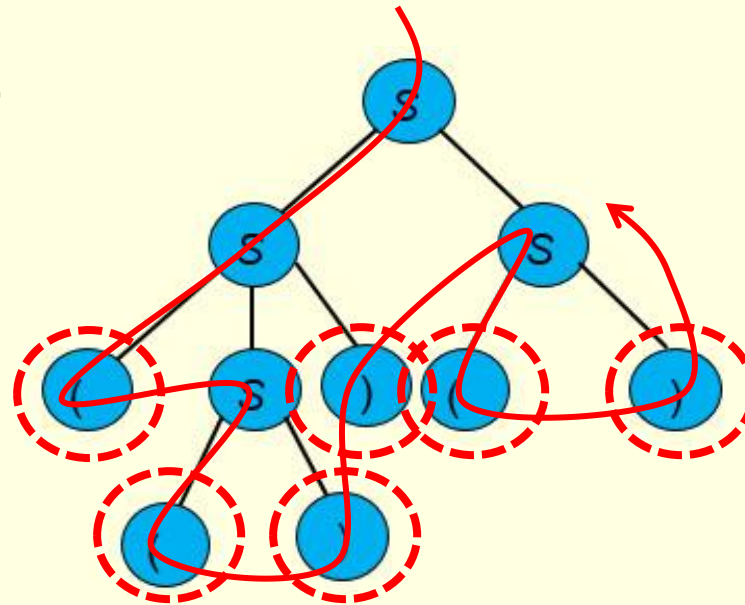


Yield of a Parse Tree

- The **concatenation** of the labels of the leaves in **left-to-right** order.
- That is, in the order of a **preorder** traversal.
- is called the **yield** of the parse tree.

Root-L-R

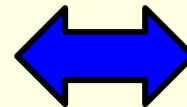
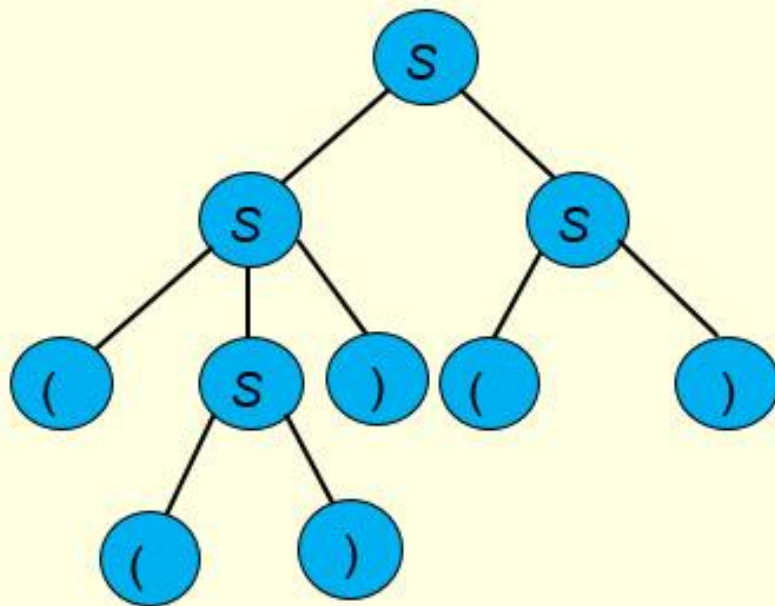
- **Example:** yield of



is $(()) ()$

Parse Trees, Left- and Rightmost Derivations

- For every parse tree, there is a **unique leftmost**, and a **unique rightmost** derivation.

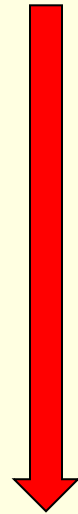


$S \Rightarrow SS$
 $\Rightarrow (S)S$
 $\Rightarrow (())S$
 $\Rightarrow (())()$

Derivation:

Given a grammar: **G**: $S \rightarrow SS \mid (S) \mid ()$

S
 $\Rightarrow SS$
 $\Rightarrow (S)S$
 $\Rightarrow (())S$
 $\Rightarrow (())()$

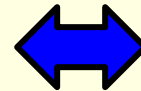
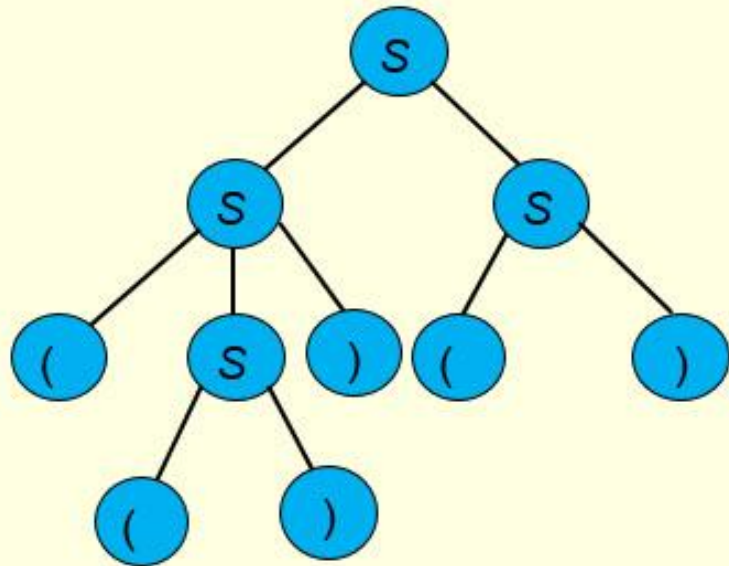


(leftmost) derivation

Conclusion: $(())()$ is a legal string in **L(G)**

Parsing:

Given a parse tree

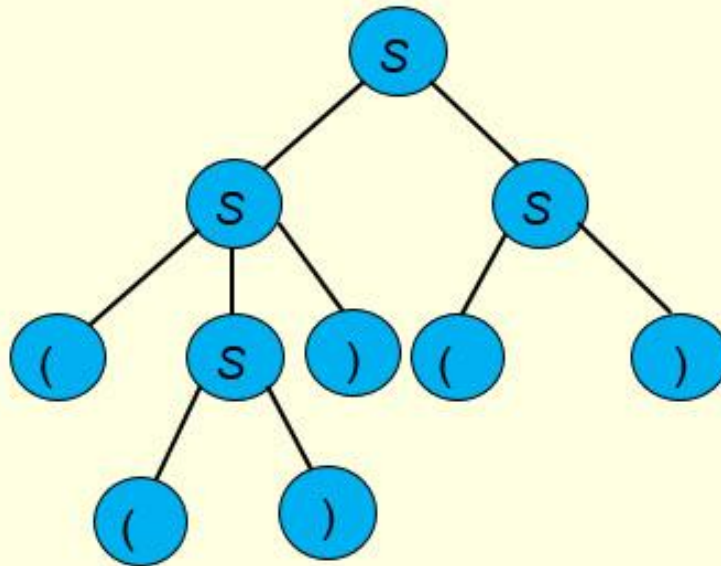


S
⇒ SS
⇒ (S)S
⇒ (())S
⇒ (())()

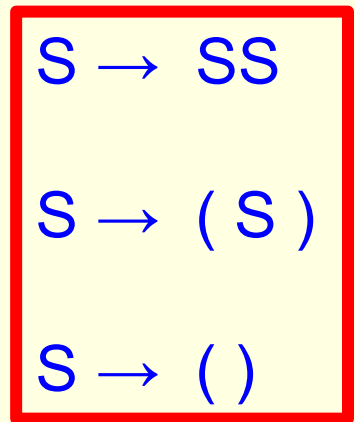
Conclusion: $(())()$ is a legal string in $L(G)$ because it has a valid structure

Parsing:

Given a parse tree



(productions)

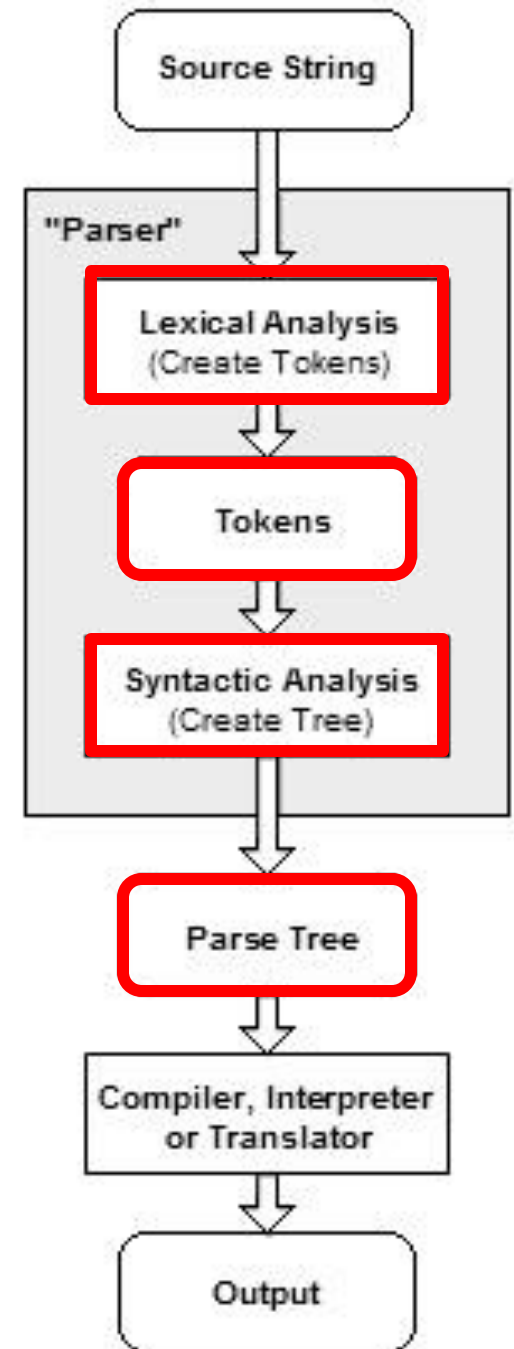


We can even tell if a grammar is an LL(1), LL(2), ... grammar

Parsing:

Actually parsing contains several steps.

But for now, a conceptual understanding is enough



Continue on LL(k) grammar ...

- A CFG is called an *LL(k) grammar* if a **parser** can be constructed to scan an input string from **left to right** and build a **leftmost derivation** by examining next k **input symbols** to determine the **unique production** for each derivation step.
- If a language has an LL(k) grammar, it is called an *LL(k) language*.

Example. Consider the language

$$\{ a^n b \mid n \in \mathbf{N} \}.$$

(1) It has an LL(1) grammar

$$S \rightarrow aS \mid b$$

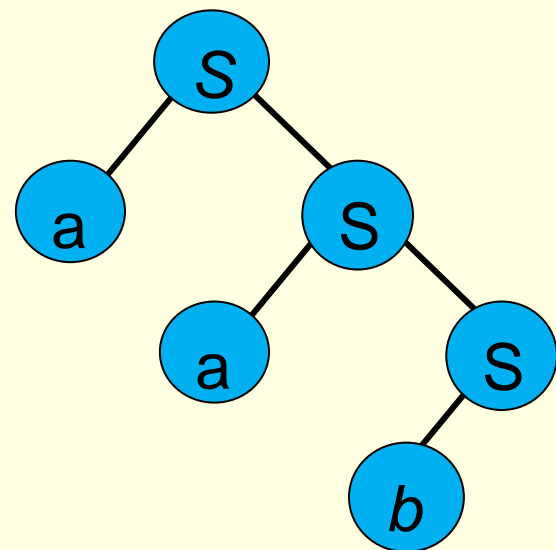
A parser can examine one input letter to decide whether to use $S \rightarrow aS$ or $S \rightarrow b$ for the next derivation step.

Consider: a a b

$$S \Rightarrow \boxed{a}S$$

$$\Rightarrow a \boxed{a}S$$

$$\Rightarrow a a \boxed{b}$$



Example. Consider the language

$$\{ a^n b \mid n \in \mathbf{N} \}.$$

(2) It has an LL(2) grammar

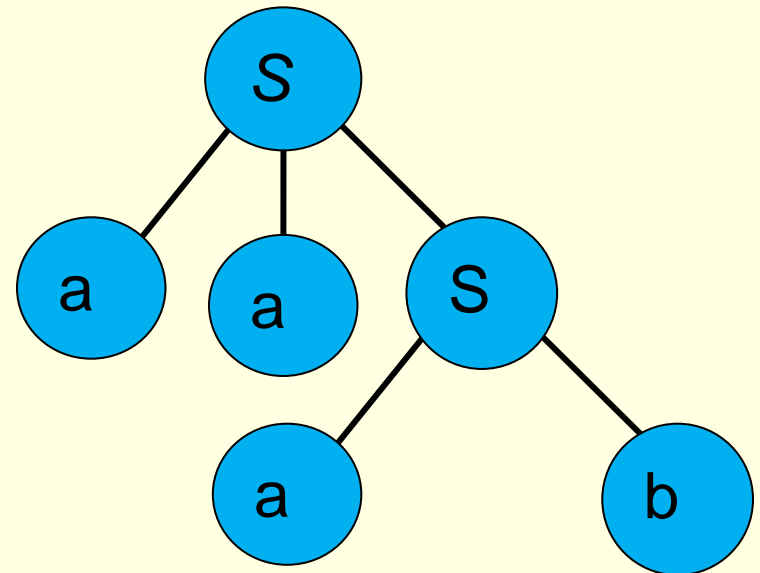
$$S \rightarrow aaS \mid ab \mid b$$

A parser can examine two input letters to decide whether to use $S \rightarrow aaS$, $S \rightarrow ab$ or $S \rightarrow b$ for the next derivation step.

Consider: a a a b

$$S \Rightarrow \boxed{a} \boxed{a} S$$

$$\Rightarrow a a \boxed{a} \boxed{b}$$



Example. Consider the language

$$\{ a^n b \mid n \in \mathbf{N} \}.$$

(2) It has an LL(2) grammar

$$S \rightarrow aaS \mid ab \mid b$$

A parser can examine two input letters to decide whether to use $S \rightarrow aaS$, $S \rightarrow ab$ or $S \rightarrow b$ for the next derivation step.

Question 1: Is this grammar LL(1)?

No.

Consider aab

Can not determine $S \rightarrow aaS$ or $S \rightarrow ab$ to use

Example. Consider the language

$$\{ a^n b \mid n \in \mathbf{N} \}.$$

(2) It has an LL(2) grammar

$$S \rightarrow aaS \mid ab \mid b$$

A parser can examine two input letters to decide whether to use $S \rightarrow aaS$, $S \rightarrow ab$ or $S \rightarrow b$ for the next derivation step.

Question 2: can you find an LL(3) grammar that is not LL(2)?

Answer: $S \rightarrow aaaS \mid aab \mid ab \mid b$

and consider the strings $aaaaab$, $aaaab$, $aaab$

Ambiguous Grammars

- A CFG is **ambiguous** if there is a string in the language that is the yield of two or more parse trees.

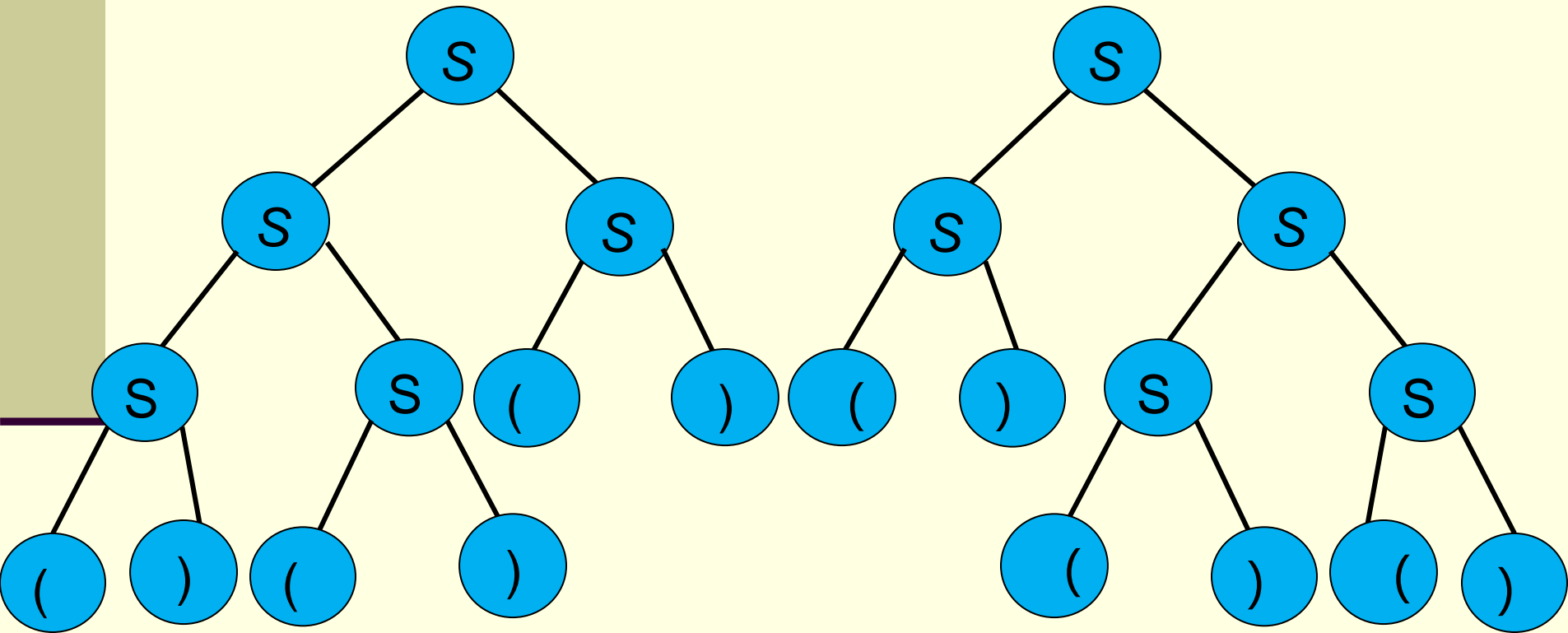
- **Example:** $S \rightarrow SS \mid (S) \mid ()$

Not good

- Two parse trees for $()()()$ on next slide.

Ambiguous Grammars

Example: $()()()$



Facts:

*Depending on if **identifiers/symbols** are included in the languages*

- Most programming languages have LL(1) grammars.

(What if a, aa, aaa are the names of three variables?)

- LL(1) grammars are never ambiguous.

LL(1) grammars are

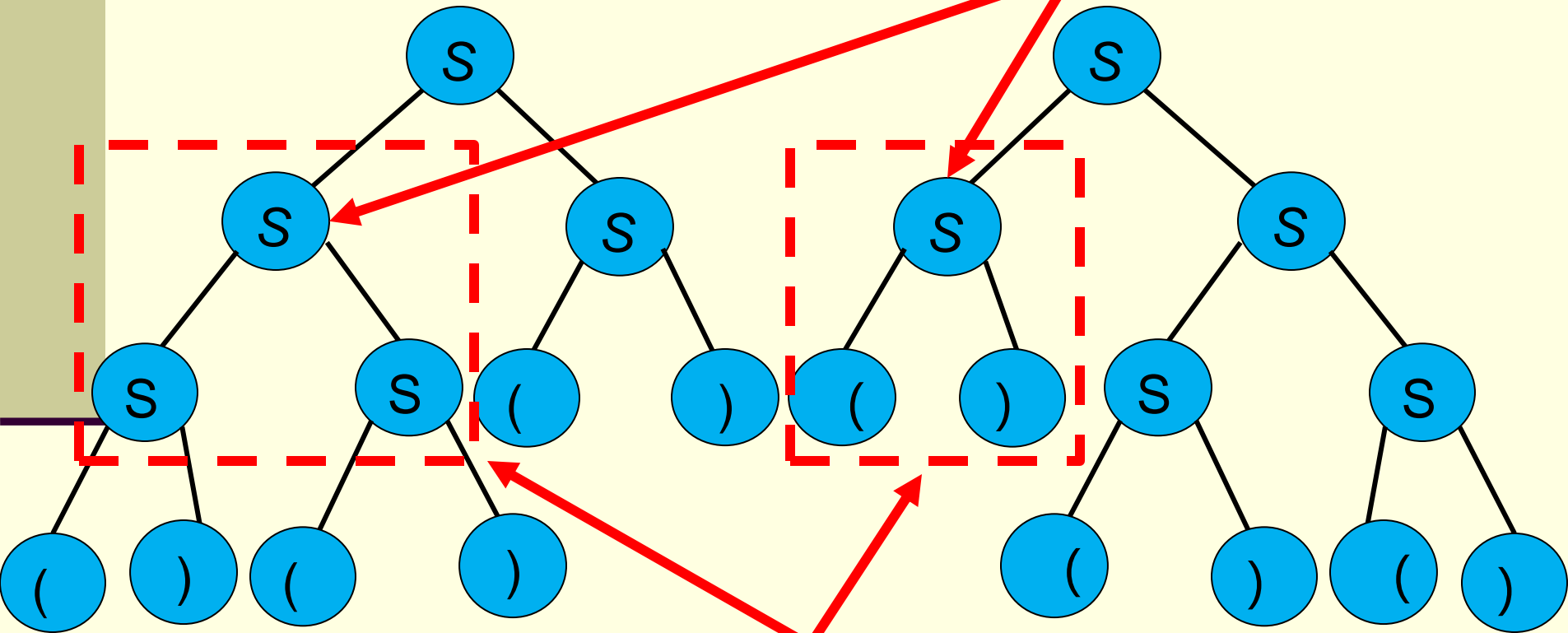
- not ambiguous and
- not left-recursive.

LL(1) grammars are **not ambiguous**.

Why?

Example: $()()()$

If the grammar is ambiguous, find the first internal node whose child nodes are different.



For that internal node, we have two different production choices for that derivation step, a contradiction.

LL(1) grammars are **not left-recursive. Why?**

If an LL(1) grammar is **left-recursive**, then there is at least a production of the form

$$S \rightarrow SA$$

But then there must be a production of the form

$$S \rightarrow B$$

to terminate the recursion. So when 'S' is scanned, we would have two options to choose from, a contradiction.

Questions:

Is the grammar

$$S \rightarrow AB; \quad A \rightarrow aAb \mid \Lambda; \quad B \rightarrow bB \mid \Lambda$$

for $\{a^n b^{n+k} \mid n, k \in \mathbf{N}\}$ an LL(1) grammar?

Consider: **b****b**

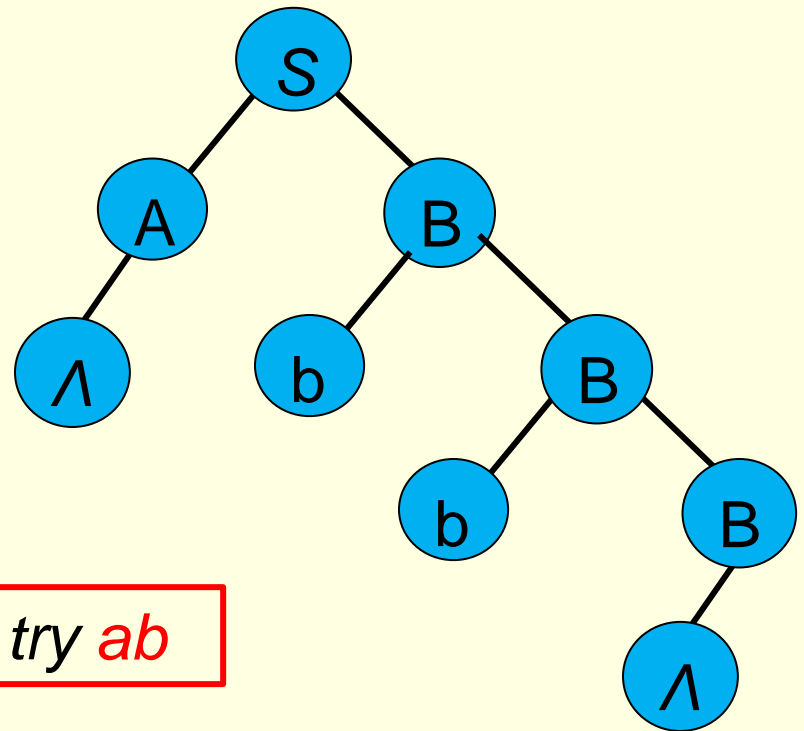
$$S \Rightarrow AB$$

$$\Rightarrow \Lambda B$$

$$\Rightarrow \Lambda \mathbf{b} B$$

$$\Rightarrow \Lambda b \mathbf{b} B$$

$$\Rightarrow \Lambda b b \Lambda$$



Then try *ab*

Questions:

Is the grammar

$$S \rightarrow AB; \quad A \rightarrow aAb \mid \Lambda; \quad B \rightarrow bB \mid \Lambda$$

for $\{a^n b^{n+k} \mid n, k \in \mathbf{N}\}$ an LL(1) grammar?

Consider: **a b b**

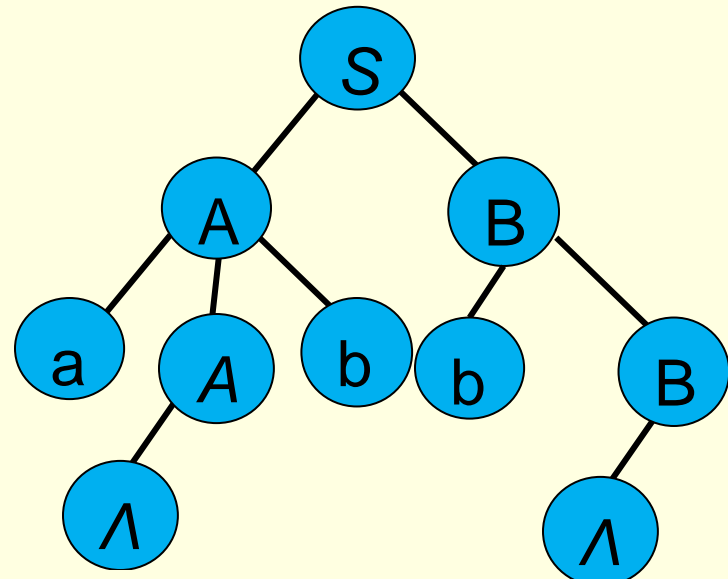
$$S \Rightarrow AB$$

$$\Rightarrow \mathbf{a} A b B$$

$$\Rightarrow a \Lambda \mathbf{b} B$$

$$\Rightarrow a \Lambda b \mathbf{b} B$$

$$\Rightarrow a \Lambda b b \Lambda$$



Yes or No

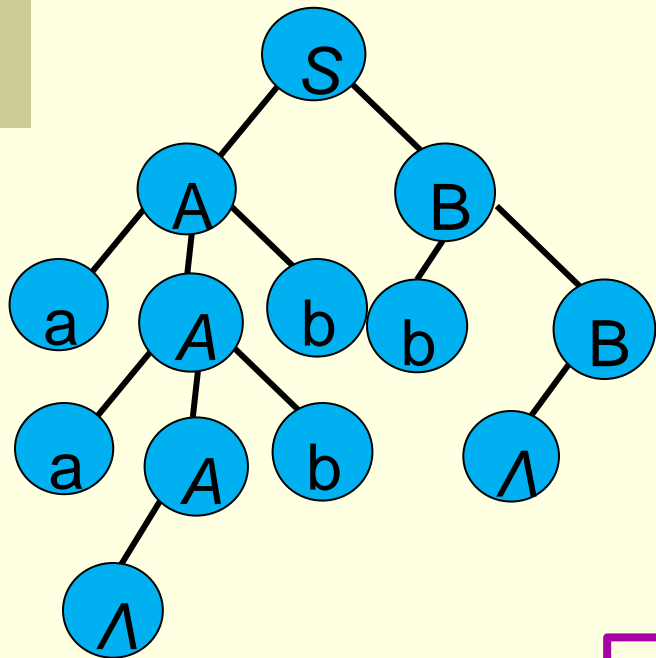
Then try *aabb*

Questions:

Is the grammar

$$S \rightarrow AB; \quad A \rightarrow aAb \mid \Lambda; \quad B \rightarrow bB \mid \Lambda$$

for $\{a^n b^{n+k} \mid n, k \in \mathbf{N}\}$ an LL(1) grammar?



Consider: $aabbba$

$$\begin{aligned} S &\Rightarrow AB \\ &\Rightarrow aAbB \\ &\Rightarrow aaAbB \\ &\Rightarrow aa\Lambda bbB \\ &\Rightarrow aa\Lambda bbbB \\ &\Rightarrow aa\Lambda bbb\Lambda \end{aligned}$$

Yes or No

Questions:

Is the grammar

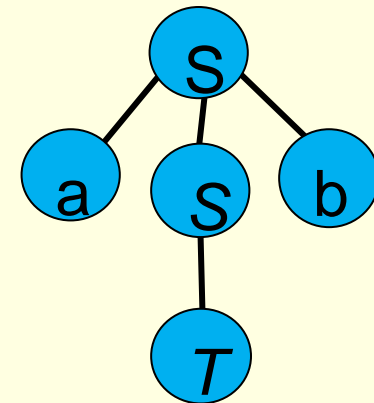
$$S \rightarrow aSb / T; \quad T \rightarrow bT / \Lambda$$

for $\{a^n b^{n+k} \mid n, k \in \mathbf{N}\}$ an LL(1) grammar?

Consider: $a|b$

$$S \Rightarrow a|S b$$

$$\Rightarrow a|T b$$



Yes or No

It gets stuck here

Questions:

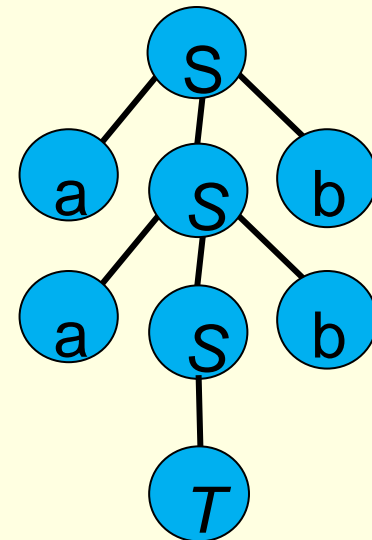
Is the grammar

$$S \rightarrow aSb / T; \quad T \rightarrow bT / \Lambda$$

for $\{a^n b^{n+k} \mid n, k \in \mathbf{N}\}$ an LL(2) grammar?

Consider: **a a b b**

$$\begin{aligned} S &\Rightarrow \mathbf{a} S b \\ &\Rightarrow a \mathbf{a} S b b \\ &\Rightarrow a a \mathbf{T} b b \end{aligned}$$



Yes or No

It gets stuck here

Questions:

Is the grammar

$$S \rightarrow aSb / T ; \quad T \rightarrow bT / \Lambda$$

for $\{ a^n b^{n+k} \mid n, k \in \mathbf{N} \}$ an LL(k) grammar for $k > 2$?

Quiz on your time

For $k=3$, check $aaabbb$

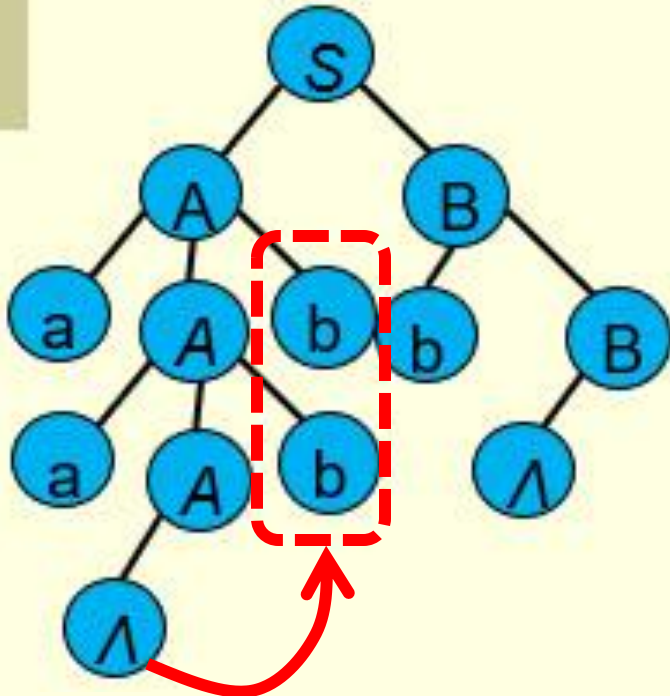
For $k=4$, check $aaaabbbb$

For $k=n$, check $a^n b^n$

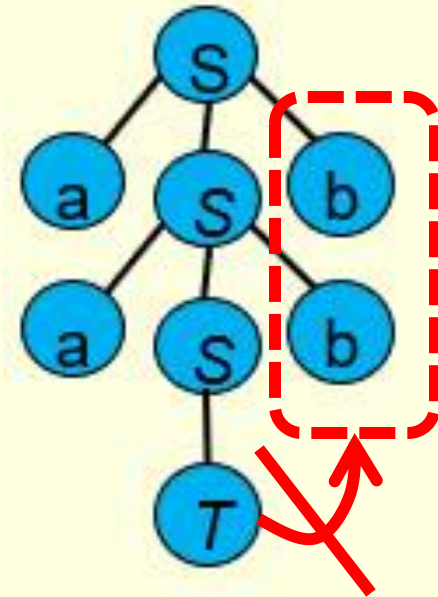
What is the difference here?

Why the grammar $\{ S \rightarrow AB; A \rightarrow aAb \mid \Lambda; B \rightarrow bB \mid \Lambda \}$ is **LL(1)** for $\{ a^n b^{n+k} \mid n, k \in \mathbf{N} \}$?

but the grammar $\{ S \rightarrow aSb \mid T; T \rightarrow bT \mid \Lambda \}$ for the same language is not **LL(k)** for any $k > 0$?



*In the second case, we did not give the parsing process a chance to **look back** before it **moves forward**. What does this mean?*



How to show a language is not an LL(k) language?

The language $\{ a^n b \mid n \in \mathbf{N} \}$ is LL(1)

$S \rightarrow aS \mid b$

The language $\{ a^n b^{n+k} \mid n, k \in \mathbf{N} \}$ is LL(1)

$S \rightarrow AB \quad A \rightarrow aAb \mid \Lambda \quad B \rightarrow bB \mid \Lambda$

How to show a language is not an LL(k) language?

But, even with the following grammar, the language $\{a^{n+k}b^n \mid n, k \in \mathbf{N}\}$ is not LL(k) for any k

$S \rightarrow AB ; \quad A \rightarrow aA \mid \Lambda ; \quad B \rightarrow aBb \mid \Lambda$

Why?

Consider ab when $k=1$

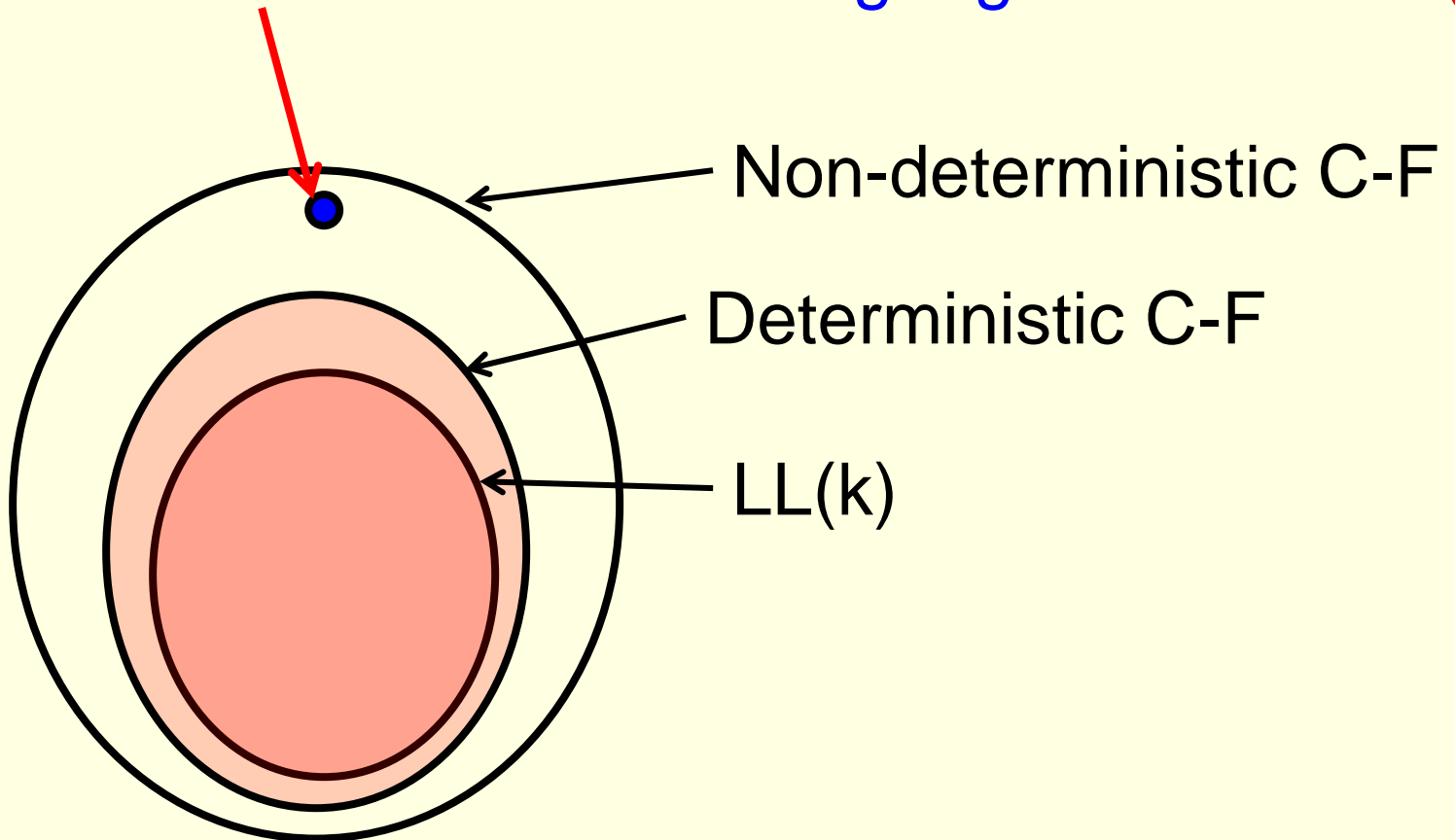
Consider $aabb$ when $k=2$

Consider $aaabbb$ when $k=3$

...

LL(k) languages \neq DCF Languages

- LL(k) languages are deterministic CF languages,
- hence non-deterministic CF languages are not LL(k).

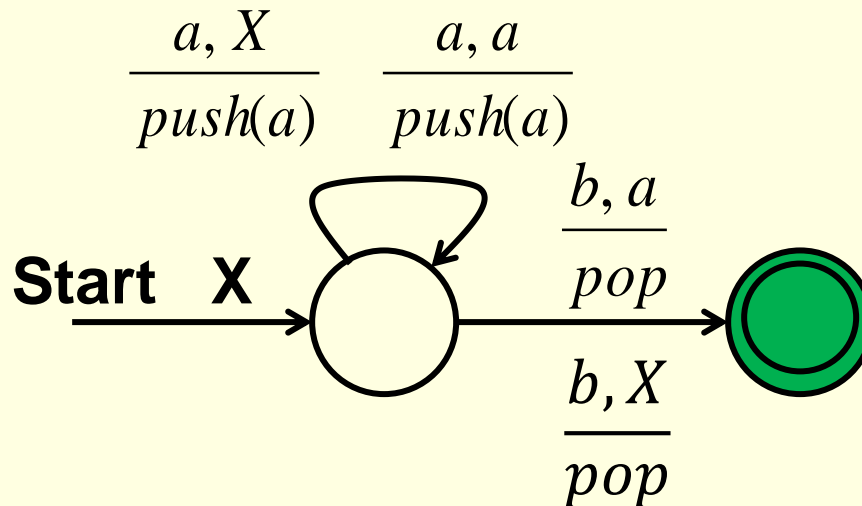


The language $\{ a^n b \mid n \in \mathbf{N} \}$ is LL(1)

$S \rightarrow aS \mid b$

Is the following PDA a PDA for this language?

Deterministic or non-deterministic?



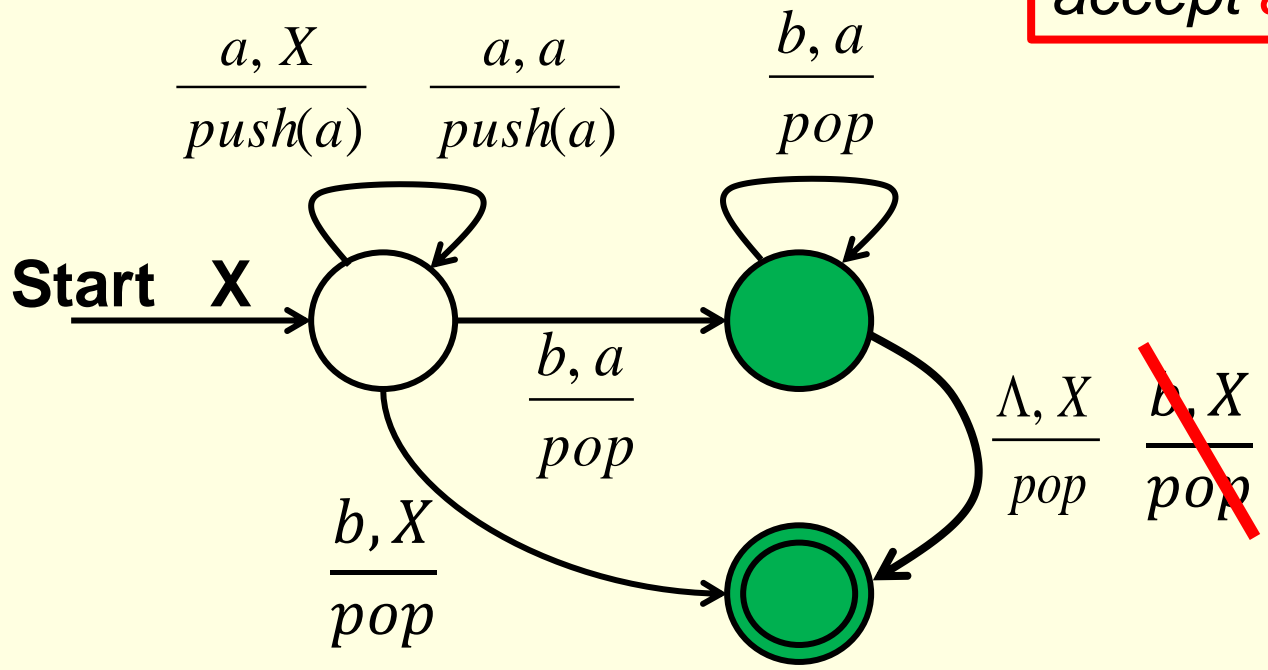
The language $\{ a^n b^{n+k} \mid n, k \in \mathbf{N} \}$ is **LL(1)**

$S \rightarrow AB$ $A \rightarrow aAb \mid \Lambda$ $B \rightarrow bB \mid \Lambda$

Is the following PDA a PDA for this language?

Deterministic or non-deterministic?

Does this PDA accept *aabbbaa*?



Example:

The language $\{ a^{n+k} b^n \mid k, n \in \mathbf{N} \}$ is **nondeterministic context-free**. So it has no **LL(k)** grammar for any k .

Proof:

Any PDA for the language must keep a **count of the a 's** with the stack so that when the b 's come along the stack can be popped with each b .

But there might still be a 's on the stack (when $k > 0$), so there must be a **nondeterministic state transition** to a final state from the popping state. i.e., we need two instructions like,

(i, b, a, pop, i) and $(i, \Lambda, a, \text{pop}, \text{final})$.

Illustration:

So why is it non-deterministic?

The language $\{a^{n+k}b^n \mid k, n \in \mathbb{N}\}$ is **nondeterministic context-free**. So it has no **LL(k)** grammar for any k .

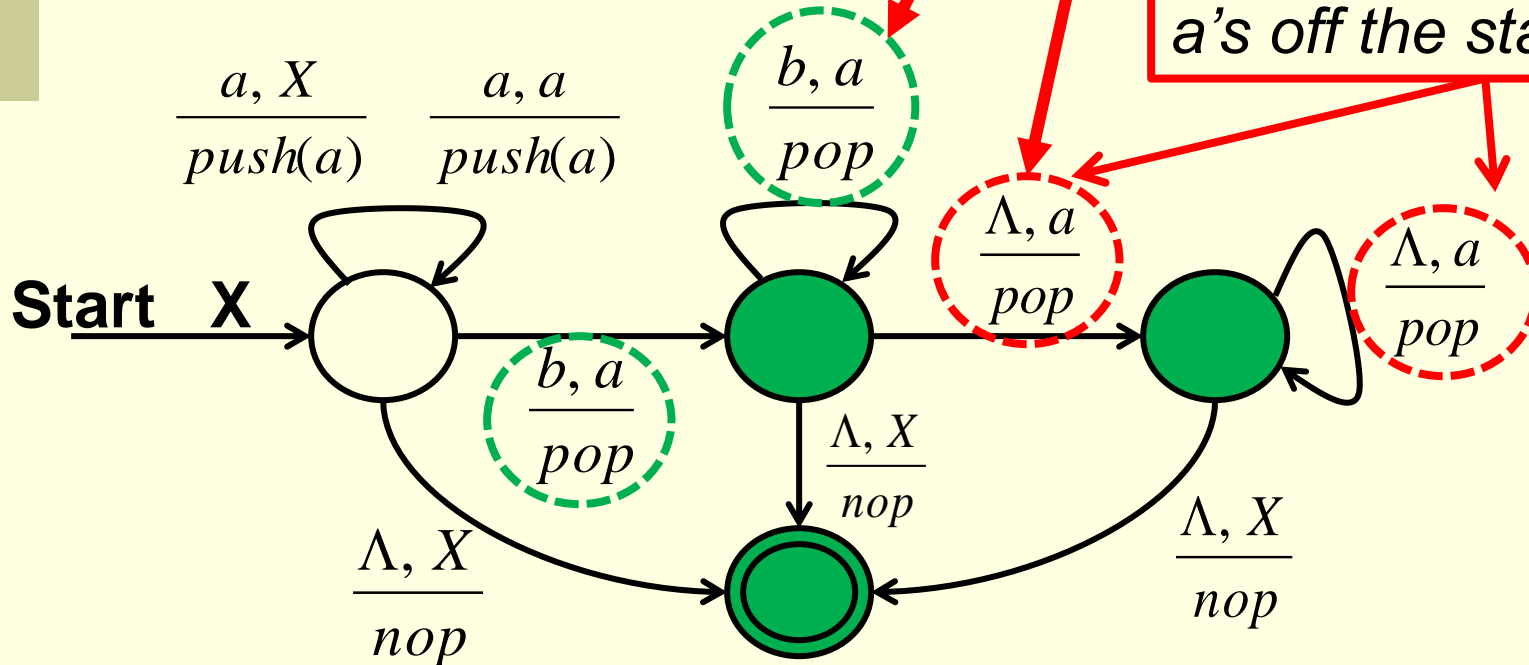
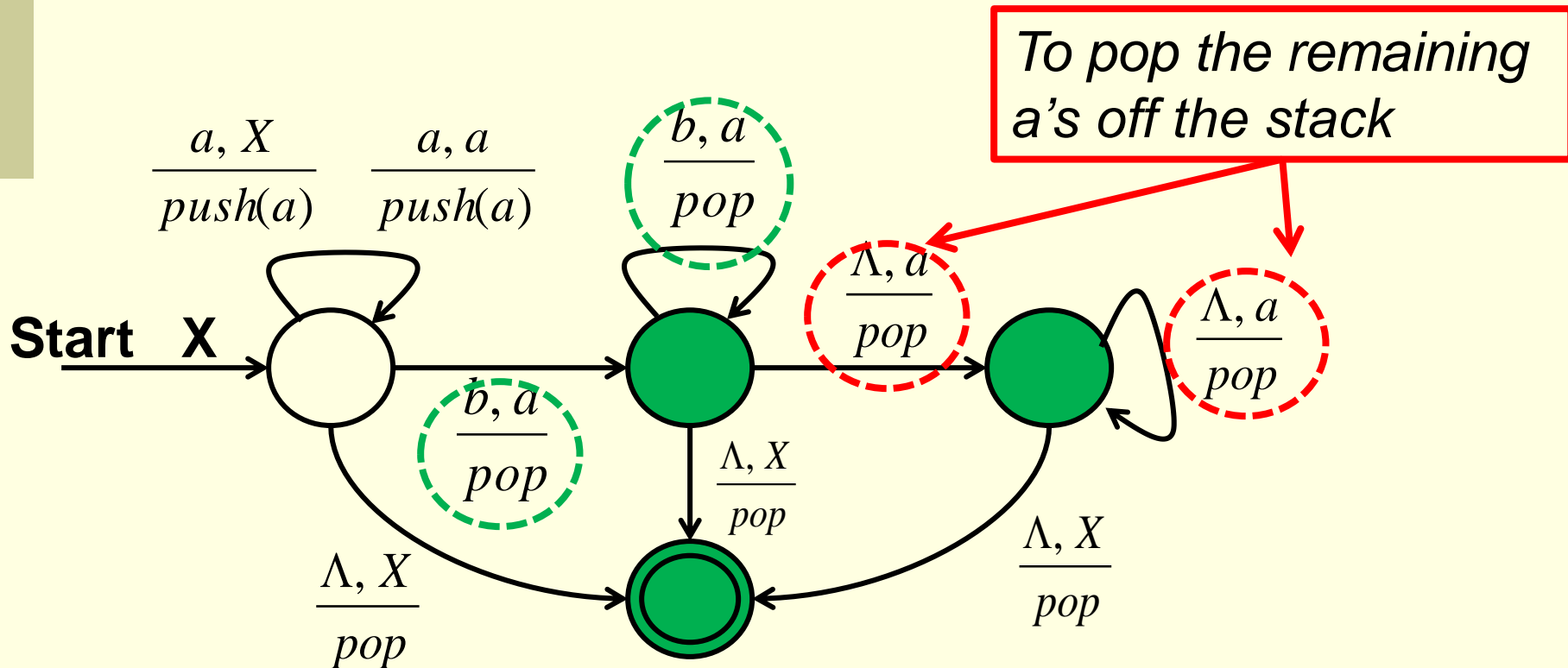


Illustration:

The language $\{ a^{n+k} b^n \mid k, n \in \mathbf{N} \}$ is **nondeterministic context-free**. So it has no **LL(k)** grammar for any k .

or



Question:

The language $\{ a^{n+k} b^n \mid k, n \in \mathbf{N} \}$ is nondeterministic context-free. (So it has no $LL(k)$ grammar for any k)

then

Is the language $\{ a^{n+k} b^n c^k \mid k, n \in \mathbf{N} \}$ nondeterministic context-free?

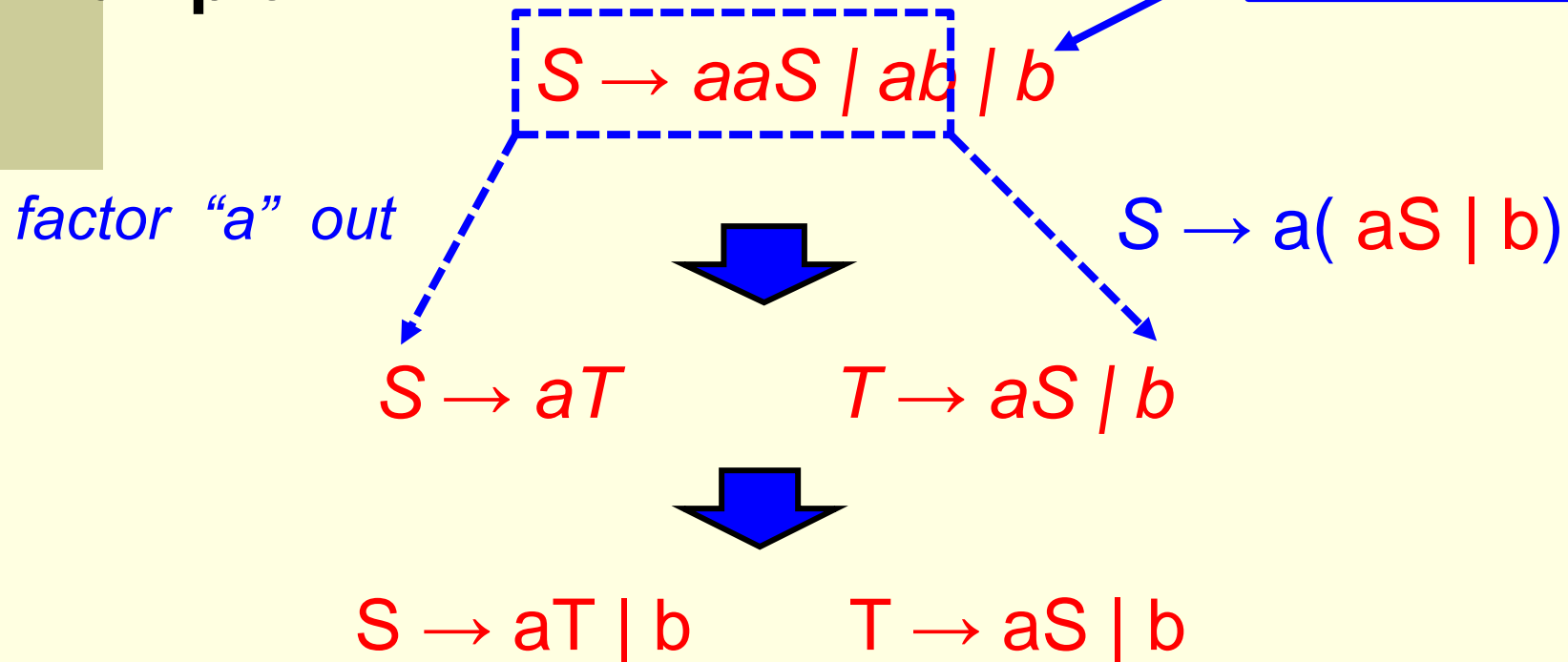
Keep your answer to yourself

Grammar Transformations:

“Left-factoring” an $LL(k)$ grammar to obtain an equivalent $LL(n)$ grammar where $n < k$.

$LL(2)$, not $LL(1)$

Example.



Question:

Is $\{ S \rightarrow aT \mid b \quad T \rightarrow aS \mid b \}$ an LL(1) grammar for the language $\{ a^n b \mid n \in \mathbb{N} \}$?

Consider: $b \square$

$S \Rightarrow b$

Consider: $a b \square$

$S \Rightarrow aT$

$\Rightarrow a b$

Consider: $a a b \square$

$S \Rightarrow aT$

$\Rightarrow a a S$

$\Rightarrow a a b$

Yes or No

Question:

$\{ S \rightarrow aaS \mid ab \mid b \}$ is an LL(2) grammar for the language $\{ a^n b \mid n \in \mathbb{N} \}$

$\{ S \rightarrow aT \mid b \quad T \rightarrow aS \mid b \}$ is an LL(1) grammar for the language $\{ a^n b \mid n \in \mathbb{N} \}$

*Try **aaaab** and get its parse trees in both cases*

End of Context-Free Language and Pushdown Automata III