# CS375: Logic and Theory of Computing

#### Fuhua (Frank) Cheng

**Department of Computer Science** 

**University of Kentucky** 

## Table of Contents:

Week 1: Preliminaries (set algebra, relations, functions) (read Chapters 1-4) Weeks 2-5: Regular Languages, Finite Automata (Chapter 11) Weeks 6-8: Context-Free Languages, **Pushdown Automata** (Chapters 12) Weeks 9-11: Turing Machines (Chapter 13)

# Table of Contents (conti):

#### Weeks 12-13: Propositional Logic (Chapter 6), Predicate Logic (Chapter 7), Computational Logic (Chapter 9), Algebraic Structures (Chapter 10)

7. Context-Free Languages & Pushdown

Automata- Context-Free Languages

Goal:

To study a non-regular language called **context-free language**,

and the machine called **pushdown automaton** that recognizes this language.

Why do we want to study context-free language?

7. Context-Free Languages & Pushdown

Automata- Context-Free Languages

Why do we want to study context-free language?

Because regular languages do not include any programming languages such as Pascal, C, C++, Java or Python as members.

Why? Consider the data item  $a^2b^2$ . This item can be a term in a polynomial and yet it is not recognized as a regular language term.





/. Context-Free Languages & Pushdown Automata- Context-Free Languages Why is the grammar called "context-free grammar"?  $S \rightarrow aSb$  $CSB \rightarrow CaSbB$ 

In summary, in the first case, you didn't need any context to apply the rule. You can apply it irrespective of the context in which S appears. So, grammars which contain only rules of first kind are called context-free grammars. 7. Context-Free Languages & Pushdown

Automata- Context-Free Languages

Why is the grammar called

"context-free grammar" ?

Now consider the rule

 $CSB \rightarrow CaSbB$ 

This says "You can replace S with aSb only if it is preceded by C and followed by B". Here it imposes a condition on when S can be replaced with aSb. You can apply this rule only if S appears in this particular **context**. Here, 'context' is used as is generally used in normal English.









/. Context-Free Languages & Pushdown Automata- Context-Free Languages **Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ . A C-F grammar for L with start symbol E can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda$ . Use this information to find grammars for following languages. 1. {  $x \in \{a, b\}^* \mid n_a(x) = 1 + n_b(x)$  }. **Solution:**  $S \rightarrow EaE$ . Would  $S \rightarrow aE$  or  $S \rightarrow Ea$  work?

Can this grammar generate  $a^4ab^4$ ,  $a^2b^3ab^5a^6$ ,  $b^2aa^5b^3$ ?

**Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ .

A C-F grammar for *L* with start symbol *E* can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda.$ 



**Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ .

A C-F grammar for *L* with start symbol *E* can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda.$ 

#### Question 2:

Why don't we need an 'E' on the left hand side of the productions, i.e., why don't we need productions of the following form?

$$E \rightarrow EaEbE | EbEaE | \Lambda$$

Because the left most symbol of each string in L is either 'a' or 'b'. If it is 'a', we can start with the first production. If it is 'b', we can start with the second production.



 $b^2 a a^5 b^3 = (b^2 a^2) a (a^3 b^3)$ 

7. Context-Free Languages & Pushdown Automata- Context-Free Languages **Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ . A grammar for L with start symbol E can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda$ .

Use this information to find grammars for following languages.

2. 
$$\{x \in \{a, b\}^* \mid n_a(x) = 2 + n_b(x)\}$$
.

**Solution:**  $S \rightarrow EaEaE$ .

hy not  $S \rightarrow EaaE$  ?

Can this grammar generate  $a^4ab^2ab^2$ ,  $a^2b^3ab^5aa^6$ ?  $a^4ab^2ab^2 = aa(a^2ab^2ab^2)$  $a^2b^3ab^5aa^6 = (a^2b^3ab^5aa^4)aa$ 

Context-Free Languages & Pushdown Automata- Context-Free Languages **Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ . A grammar for L with start symbol E can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda$ . Use this information to find grammars for following languages. Would the following T work? 3.  $\{x \in \{a, b\}^* \mid n_a(x) > n_b(x)\}$ .  $T \rightarrow TEa \mid \Lambda$  $S \rightarrow EaE$ Solution: To ensure the number  $T \rightarrow aET | \Lambda$ of extra a's can be as many as possible What is T for?

 $S \rightarrow EaET \rightarrow EaEaET \rightarrow EaEaEaET \rightarrow \dots$ 

7. Context-Free Languages & Pushdown Automata- Context-Free Languages **Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ . A grammar for L with start symbol E can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda$ .

Use this information to find grammars for following languages.

3.  $\{x \in \{a, b\}^* \mid n_a(x) > n_b(x)\}$ . **Solution:**  $S \rightarrow EaET$   $T \rightarrow aET \mid \Lambda$ . Questions: would  $S \rightarrow EaES \mid \Lambda$  or  $S \rightarrow EaES \mid a$  work? None would work!!! Why?

Context-Free Languages & Pushdown Automata- Context-Free Languages **Examples.** For a string x and letter a let  $n_a(x)$  be the number of a's in x. Let  $L = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$ . A grammar for L with start symbol E can be written as:  $E \rightarrow aEbE \mid bEaE \mid \Lambda$ . Use this information to find grammars for following languages. 4.  $\{x \in \{a, b\}^* \mid n_a(x) < n_b(x)\}$ . compare #4 Solution:  $S \rightarrow EbET \quad T \rightarrow bET \mid \Lambda$ . with #3







# Why not a Pushup Automaton?





Why is stack data structure important?

(1) can reverse a word;
(2) for "undo" mechanism;
(3) can be used to match braces, ...
Very useful for language processing

## Question: Can a queue do the same thing?

#### Why is stack data structure important?







# UNDO and REDO can be implemented with two stacks

- Push all operations to Undo-stack
- When UNDO is called, pop operations from Undo-stack and push it to Redo-stack.
- When REDO is called, pop operations from Redo-stack and push it to Undo-stack.

#### How should the previous PDA be modified?

#### (3) can be used to match braces



#### How should the previous PDA be modified?

3/4/2025

(use two stacks, one for every input symbol, one for braces only)

# Final State acceptance

# vs Empty-stack acceptance

#### Acceptance of a string:

A string w is accepted by a PDA if there is a path from the start state to a final state such that the symbols on the path edges concatenate to w. Otherwise, w is rejected.

#### This kind acceptance is called *Final State Acceptance*



# Final State acceptance

# vs Empty-stack acceptance

#### **Empty-Stack Acceptance.**

Instead of final-state acceptance, a PDA can also be defined to accept a string by the condition that the stack is empty.

The two types of acceptance are equivalent in that they define PDAs that accept the same class of languages.




#### Automata- Pushdown Automata

**Question:** is the following PDA a final-state acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



#### Automata- Pushdown Automata

**Question:** is the following PDA an empty-stack acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



#### Automata- Pushdown Automata

**Question:** is the following PDA an empty-stack acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



# 7. Context-Free Languages & Pushdown Automata- Pushdown Automata

Deterministic PDA: at most one legal transition for the same combination of input symbol, state and top stack symbol

*Nondeterminism* can occur in two ways:



 $\delta(i, a C)$  means when you are in state *i*, the next input symbol is *a* and the top element in the stack is *C*, the number of instructions that can be used for this combination Deterministic PDA: at most one legal transition for the same *b*, *D* combination of input symbol, state and top stack pop Nondetermin/sm: the 2<sup>nd</sup> case: b=∧b, hence, if  $\delta(i, \Lambda, \dot{C})$  is not empty, then processing  $\delta(i, b, C)$ could give us two different legal transitions. Why?



D is a stack symbol right below C (after popping C).

7. Context-Free Languages & Pushdown Automata- Pushdown Automata Intuitively, Deterministic PDA: never have a choice Nondeterminisitic PDA: may have several alternatives how to continue A PDA is deterministic if  $|\delta(i, a, C)| + |\delta(i, \Lambda, C)| \le 1$ for any state *i*, any alphabet symbol *a*, any stack symbol *C*.



#### Context-Free Languages & Pushdown Automata-Pushdown Automata **Example.** A PDA to accept the language $\{a^nb^n \mid n > 0\}$ as a graph and as a set of 5-tuples. a, Xa, a b, a push(a) push(a) pop Start b, a $\Lambda, X$ pop nop $\rightarrow$ (0, a, X, push(a), 0) 8 8 8 8 8 Consider: $aaabbb\Lambda$ $\rightarrow$ (0, a, a, push(a), 0) $\rightarrow$ (0, b, a, pop, 1) Accepted $\rightarrow$ (1, b, a, pop, 1) inal state) University of Kentucky $\rightarrow$ (1, $\Lambda$ , X, nop, 2).



#### Context-Free Languages & Pushdown Automata-Pushdown Automata **Example.** A PDA to accept the language $\{a^n b^n | n > 0\}$ as a graph and as a set of 5-tuples. a, Xa, a b, a push(a) push(a) DOD Star b, a pop

This PDA does not accept *aabbab*, but would accept *aabb*. Why?

Because after the substring *aabb* is processed, we are in state 1 and we can only execute the instruction  $\Lambda$ , X/nop then.

#### Context-Free Languages & Pushdown Automata-Pushdown Automata Quiz. How should you modify the machine to accept $\{a^nb^n \mid n \in N\}$ (final state)? a, Xa, a b, a push(a) push(a) pop X Start b, a $\Lambda, X$ pop nop (0, a, X, push(a), 0) $\Lambda, X$ **Answer:** Add the instruction (0, a, a, push(a), 0)nop (0, b, a, pop, 1) $(0, \Lambda, X, \operatorname{nop}, 2)$ (1, b, a, pop, 1)3/4/2025 University of Kentucky $(1, \Lambda, X, nop, 2).$





# **Example.** A PDA to accept the language { $a^n b^n | n > 0$ } as a graph and as a set of 5-tuples.



#### Automata- Pushdown Automata

**Example.** Find a PDA to accept the language  $\{a^{2n}b^n \mid n \in N\}$ . **A solution:** 



# Does every high-level programming language have a deterministic PDA?

#### YES or NO

Yes. Why? because each high-level language has a context-free grammar, and a CFG is equivalent to a DPDA Briversity of Kentucky 55



#### Automata- Pushdown Automata

**Example.** Find a PDA to accept the language  $\{a^{2n}b^n \mid n \in \mathbf{N}\}$ .

A solution:



#### Automata- Pushdown Automata

**Example.** Find a PDA to accept the language  $\{a^{2n}b^n \mid n \in \mathbf{N}\}$ .

A solution:



How do I know the PDA should be built this way?



7. Context-Free Languages & Pushdown Automata- Pushdown Automata Example. Find a PDA to accept the language  $\{a^{2n}b^n \mid n \in N\}$ . A solution: Then, build mechanism to push  $a^m$  onto the stack



60







Automata- Pushdown Automata

**Example.** Find a PDA to accept the language  $\{a^{2n}b^n \mid n \in N\}$ .

A solution: Then, build mechanism to pop a from the stack 2 times for each b, to accept  $a^{2n}b^n$ 









#### Automata- Pushdown Automata

**Question:** is the following PDA a final-state acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



#### Automata- Pushdown Automata

**Question:** is the following PDA an empty-stack acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



#### Automata- Pushdown Automata

**Question:** is the following PDA an empty-stack acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?







#### Automata- Pushdown Automata

Example: given the following final-state PDA, convert it to a empty-stack PDA



#### Automata- Pushdown Automata

Example: given the following final-state PDA, convert it to a empty-stack PDA



#### Automata- Pushdown Automata

Example: given the following final-state PDA, convert it to a empty-stack PDA


Question: is the new stack symbol 'Y' really needed here ? First, non-final states have nothing to do with 'Y' or 'e'. Consider the following final state acceptance PDA that accepts the CFL L={  $a^n b^m \mid n, m \in N; n > m$  } **b**, **a** pop **b**, **X** a, X*a*, *a* pop push(a) push(a) Λ, Χ **b**, **a** X pop () pop But not this one Start Λ, α Are a, aaa, aab, aaaabb, aabbbb accepted by this pop 3/4/2025 PDA ? Yes











If we add an empty state 'e' and construct new edges and instructions as follows, would this give us an emptystack acceptance PDA for  $L = \{a^n b^m \mid n, m \in N; n > m\}$ }?









3/4/2025

# But it would accept strings $a^n b^n$ , $n \ge 1$ , by empty stack



# Using a new stack symbol 'Y' in the transformation process is necessary here.



So Y is really needed here

**A** final state acceptance PDA for the language  $L = \{ a^n b^n \mid n \in N \}$ ?



#### **A** final state acceptance PDA for the language $L = \{ a^n b^n \mid n \in N \}$ ?



In this case, when we do the transformation, a new stack symbol 'Y' is also needed b/c otherwise we wouldn't be able to move from state 2 to state 'e'.









## 7. Context-Free Languages & Pushdown Automata- Pushdown Automata

For each programming language, there is a PDA to recognize that programming language.

Why? b/c each programming language is a context-free language

### /. Context-Free Languages & Pushdown Automata-Pushdown Automata Transform C-F grammar to empty-stack PDA: The idea is to use the stack of the PDA to simulate the (leftmost) derivation of a string in the grammar. The PDA has **ONE STATE**, but we allow multiple stack operations. The stack symbols are the terminals and nonterminals of the grammar. The designated starting stack symbol is the grammar start symbol. 3/4/2025 93

Transform C-F grammar to empty-stack PDA:



### Transform C-F grammar to empty-stack PDA:

$$N = \{ S \}$$
$$T = \{ a, b \}$$
$$S : start symbol$$
$$P = \left\{ \begin{array}{c} S \rightarrow \Lambda \\ S \rightarrow aSb \\ S \rightarrow aaS \end{array} \right\}$$

What is the language of this C-F grammar?

$$\{a^{m+2n}b^m \mid n, m \in N\}$$

 $\Lambda$ , aa, aaaa, ...,  $a^{2n}$ , ... ab, aaab, aaaaab, ... aabb, aaaabb, aaaaabbb, ...



# DFA vs NFA

For a DFA, you have only one chance to determine if a given string is accepted by the DFA because there will be only one path in the DFA for that string. If that path does not lead to a final state, then the only conclusion is that string is not accepted by the DFA.

For an NFA, there could be several different paths in the NFA for that string. If one path does not lead to a final state, you can try other available paths to see if one of them would work.

#### **Transform C-F grammar to empty-stack PDA:**

S



#### **PDA instructions:**

(0, a, a, pop, 0) (0, b, b, pop, 0) (0, Λ, S, pop, 0) (0, Λ, S, <pop, push(b), push(S), push(a)>, 0) (0, Λ, S, <pop, push(S), push(a), push(a)>, 0)

#### **Transform C-F grammar to empty-stack PDA:**

How do I know the PDA should be constructed the above way?

The work of a PDA reverses the work of a grammar in the sense that a grammar generates a string while a PDA executes a string

For instance:  $S \Rightarrow aSb \Rightarrow aaaSb \Rightarrow aaab$ 

On the other hand: aaab  $\rightarrow$  aab  $\rightarrow$  ab  $\rightarrow$  b  $\rightarrow$  A



when top symbol of the stack is a nonterminal and next input symbol is not a  $\Lambda$ 

then put a  $\Lambda$  in front of the un-consumed portion of the input string

and the PDA should have an instruction for you to replace the top symbol of the stack with the right hand side of a grammar production.



 $(0, \underline{\Lambda}aaab, \underline{S})$  $(0, \underline{a}aab, \underline{a}Sb)$  $(0, \underline{\Lambda}aab, \underline{S}b)$ (0, aab, aaSb)(0, ab, aSb) $(0, \Lambda b, Sb)$  $(0, \underline{b}, \underline{b})$  $(0, \Lambda, \Lambda)$ 

 $(0, \Lambda, S, \langle pop, push(b), push(S), push(a) \rangle, 0)$ (0, a, a, pop, 0) $(0, \Lambda, S, \langle pop, push(S), push(a), push(a) \rangle, 0)$ (0, a, a, pop, 0)(0, a, a, pop, 0) $(0, \Lambda, S, pop, 0)$ (0, b, b, pop, 0)Accepted



#### **Transform C-F grammar to empty-stack PDA:**

So, when the PDA processes the string aaab, operations on the stack of the PDA reflect the derivation of the string aaab

Now the process of transforming a C-F grammar to an empty-stack PDA

## 7. Context-Free Languages & Pushdown

#### Automata-Pushdown Automata

Transform C-F grammar to empty-stack PDA:

#### Basic idea:

- Use the stack of the PDA to simulate the (leftmost) derivation of a string in the grammar
  - Push S (start symbol of CFG) on the stack
  - From this point on, there are two moves the PDA can make:
    - 1. If a non-terminal A is at the top of the stack, pop it and push the right-hand side of a production  $A \rightarrow B1B2 \cdots Bn$  from G
    - If a terminal *a* is at the top of the stack, pop it and match it with whatever symbol is being read from the input string

## **Leftmost and Rightmost Derivations**

Consider the grammar  $G = ({S, A, B, C}, {a, b, c}, S, P)$ where

 $\mathsf{P} = \{ \mathsf{S} \to \mathsf{ABC}, \mathsf{A} \to \mathsf{aA} \mid \mathsf{\Lambda}, \mathsf{B} \to \mathsf{bB} \mid \mathsf{\Lambda}, \mathsf{C} \to \mathsf{cC} \mid \mathsf{\Lambda} \}$ 

Leftmost derivation (always expand the leftmost variable first):  $S \Rightarrow ABC \Rightarrow aABC \Rightarrow aBC \Rightarrow abBC \Rightarrow abbBC \Rightarrow abbC$  $\Rightarrow abbcC \Rightarrow abbc$ 

Rightmost derivation (always expand the rightmost variable first):

 $S \Rightarrow ABC \Rightarrow ABcC \Rightarrow ABc \Rightarrow AbBc \Rightarrow AbbBc \Rightarrow Abbc$ 

 $\Rightarrow$  aAbbc  $\Rightarrow$  abbc

Note:

1. Different derivations result in different sentential forms, but

2. For a C-F grammar, it doesn't make difference in what order we expand the variables.





#### **This is the empty-stack PDA:**



Why?

S

## First note that:

### A leftmost derivation of aaab:

ID's for aaab:  $(0, \underline{\Lambda}aaab, \underline{S})$  $(0, \underline{a}aab, \underline{a}Sb)$  $(0, \underline{\Lambda}aab, \underline{S}b)$ (0, aab, aaSb)(0, ab, aSb) $(0, \Lambda b, Sb)$  $(0, \underline{b}, \underline{b})$  $(0, \Lambda, \Lambda)$ 

#### PDA instructions:

 $(0, \Lambda, S, \langle pop, push(b), push(S), push(a) \rangle, 0)$ (0, a, a, pop, 0) (0,  $\Lambda, S, \langle pop, push(S), push(a), push(a) \rangle, 0)$ (0, a, a, pop, 0) (0, a, a, pop, 0) (0,  $\Lambda, S, pop, 0)$ (0, b, b, pop, 0)



# Here is why:


## **Example:** transform the following C-F grammar to an empty-stack PDA







## **Example:** transform the following C-F grammar to an empty-stack PDA







## End of Context-Free Languages and Pushdown Automata I